

Archived Information

**FU.S. DEPARTMENT OF EDUCATION
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION
WASHINGTON, DC 20202-6335**

**FY 2006
APPLICATION FOR GRANT
FORMULA GRANTS
TO LOCAL EDUCATIONAL AGENCIES**

INDIAN EDUCATION PROGRAMS

CFDA Number: 84.060

FORM APPROVED

OMB No. 1810-0021, EXP. DATE 04/30/2006



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Paperwork Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0021. The time required to complete this information collection per type of respondent is estimated to average 45 hours for new project applications and 10 hours for continuing project applications, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collected. **If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to:** U.S. Department of Education, Washington, DC 20202-4651. **If you have comments or concerns regarding the status of your individual submission of this form, write directly to:** Office of Indian Education, U.S. Department of Education, 400 Maryland Avenue, SW, FOB-6/Room 5C152, Washington, DC 20202-6335.

FORMULA GRANTS TO LEA'S APPLICATION PACKAGE
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SECTION A – DEAR APPLICANT LETTER



U.S. Department of Education
Office of Indian Education

Dear Applicant:

This package contains instructions and forms for the fiscal year (FY) 2006 grant applications for the Formula Grants to Local Educational Agencies Program (CFDA 84.060). The purpose of the Indian Education Formula Grant program, authorized in Part A, Subpart 1 of Title VII of the Elementary and Secondary Education Act (the Act), is to assist eligible applicants to provide Indian students with the opportunity to meet the same challenging State standards as all other students and meet the unique educational and culturally related academic needs of American Indian and Alaska Native students. The Act and the instructions in this letter and application package are to be used in developing your fiscal year 2006 application.

Any application that does not meet the deadline will not be considered for funding in the initial allocation of awards. However, if funds become available after the initial allocation of funds, applications not meeting the deadline may be considered for funding if the Secretary determines, under Section 7118(d) of the Act, that reallocation of those funds to late applicants would best assist in advancing the purposes of the program. However, the amount and date of an individual award, if any is made under this provision, may be less than the applicant would have received had the application been submitted on time. In any case, no grant may be awarded unless a completed application has been received, reviewed, and approved by the Department.

Special Instructions:

- **All applicants not participating in the formula grant program during the current 2005-2006 school year are required to submit a new application using the forms provided in this application package. Specific instructions are provided on the contents for the application. All new project applications under this program should have activities and objectives planned for a period of one year.**
- For projects participating in the program in the 2005-2006 school year, a continuation application for fiscal year 2006 funding (2006-2007 school year) demonstrating substantial progress in meeting the project's objectives must be submitted in order to receive continued funding.
- Applications submitted must address all required information in order to be funded.

New Applicants:

- For new projects, the funds available under this program have a 5% cap on the use of funds for administrative costs unless a waiver of the requirement is approved. The waiver may be approved if the applicant can demonstrate that implementation of the requirement will be detrimental to the operation of the program. If a waiver is requested, applicants are required to: (1) submit copies of the waiver request to their State Department of Education and

provide copies of any comments received; and (2) provide notice to the public that a waiver is being requested. The application provides guidance on requesting a waiver of the 5% cap.

- Applications for new project periods must also provide information that addresses Section 427 of the Department of Education's General Education Provisions Act (GEPA), the requirements of which are enclosed in the application.
- Section 7116 of the Elementary and Secondary Education Act, as amended, allows eligible applicants to submit a plan for the integration of education and related services provided to Indian students. This provision allows, with approval of the Secretary in cooperation with each Federal agency providing grants for the provision of education and related services to the eligible applicant, consolidation of the federally funded education and related services program, or portions of the program, to integrate the program services involved into a single coordinated, comprehensive demonstration program and to reduce administrative costs by consolidating administrative functions. The applicant may include funds for any Federal program exclusively serving Indian children, or the funds reserved under any Federal program to exclusively serve Indian children, that are received under a statutory or administrative formula for the purposes of providing education and related services that would be used to serve Indian students.

Mailing Instructions:

- **All applications must be submitted in hard copy (an original and two copies).** *Application submissions by fax or e-mail are not accepted.* Mail applications to the Office of Indian Education at the address shown in the application package. All applicants, except Bureau-funded schools and Tribes that apply for a LEA, are required to submit a copy of their application to the appropriate State Department of Education for review (required in section 7119 of the Act). The copy should be submitted to the State Department of Education at the same time the application is submitted to OIE.
- **Screening procedures for mail used by the government may obliterate some types of mailing labels with the addressee name causing a delay in receipt or an undeliverable application. Applicants are advised not to use address labels on the envelope when mailing application materials.**

For technical assistance concerning completion of the application forms or information to be contained within the application, please contact your program specialist in the Office of Indian Education (see Section C, OIE Contacts).

Sincerely,

Cathie Carothers
Acting Director
Office of Indian Education

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SECTION B – LEGAL AND REGULATORY DOCUMENTS

Contents:

- Closing Date Notice – The official version of this document is published in the Federal Register. An electronic version is available at:
<http://www.gpoaccess.gov/nara/index.html>
- Program Legislation – An electronic version is available at:
http://www.ed.gov/offices/OESE/oie/about_oie/title_vii_legislation.html

NOTE:

The electronic version of the application contains the links for the Standard Forms found on pages 51-68 of the hard copy of the application.

The official version of the closing notice is not included in the hard copy or electronic version of this application package. The official version of the closing notice is published in the Federal Register and can be accessed at the above link.

4000-01-U

DEPARTMENT OF EDUCATION

(CFDA 84.060A)

AGENCY: Office of Elementary and Secondary Education,
Department of Education.

ACTION: Indian Education Formula Grants to Local Education
Agencies -- Notice inviting applications for fiscal year (FY)
2006.

SUPPLEMENTARY INFORMATION:

Purpose of Program: The Indian Education Formula Grant program provides grants to support local educational agencies (LEAs) and other eligible entities described in this notice in their efforts to reform and improve elementary and secondary school programs that serve Indian students. The Department will fund programs designed to assist Indian students to meet the same challenging State academic content and student academic achievement standards used for all students. In addition, under section 7116 of the Elementary and Secondary Education Act of 1965, as amended (ESEA), the Secretary will, upon receipt of an acceptable plan for the integration of education and related services, authorize the entity receiving the funds under this program to consolidate, in accordance with the entity's plan, the funds for any Federal program exclusively serving Indian children, or the funds reserved under any Federal program to

exclusively serve Indian children, that are awarded under a statutory or administrative formula to the entity, for the purposes of providing education and related services to Indian students. Instructions for submitting an integration of education and related services plan are included in the application package.

Eligible Applicants: LEAs, certain schools funded by the Bureau of Indian Affairs and Indian tribes under certain conditions, as prescribed by section 7112(c) of the ESEA.

Dates:

Applications Available: (INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Deadline for Transmittal of Applications: (INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Applications not meeting the deadline will not be considered for funding in the initial allocation of awards. However, if funds become available after the initial allocation of funds, applications not meeting the deadline may be considered for funding if the Secretary determines, under section 7118(d) of the ESEA, that reallocation of those funds to applicants filing after the deadline would best assist in advancing the purposes of the program. However, the amount and length of an individual award, if any, may be less than the applicant would have received had the application been submitted

on time.

Deadline for Intergovernmental Review: (INSERT DATE OF PUBLICATION IN THE FEDERAL REGISTER).

Available Funds: \$95,331,060.

Estimated Range of Awards: \$4,000 to \$2,215,000.

Estimated Average Size of Awards: \$79,642.

Estimated Number of Awards: 1,197.

Note: The Department is not bound by any estimates in this notice.

Project Period: 12 months.

Applicable Regulations: The Education Department General Administrative Regulations (EDGAR) in 34 CFR Parts 75, 77, 79, 80, 81, 82, 84, 85, 86, 97, 98, and 99.

Note: The regulations in 34 CFR part 79 apply to all applicants except federally recognized Indian tribes.

Performance Measures: The Secretary has established the following key performance measures for assessing the effectiveness of the Formula Grants to Local Educational Agency program: (1) the percentage of American Indian and Alaska Native students in grades 4 and 8 who are at or above the basic level in reading on the National Assessment of Educational Progress (NAEP); and (2) the percentage of American Indian and Alaska Native students in grades 4 and 8 who are at or above the basic level in mathematics on NAEP.

FOR APPLICATIONS OR FURTHER INFORMATION CONTACT: Lana Shaughnessy, U.S. Department of Education, 400 Maryland Avenue, SW., room 5C152, Washington, D.C. 20202-6335. Telephone: (202) 260-3774. An electronic version of the application is available at:

<http://www.ed.gov/about/offices/list/oese/oie/index.html>

Individuals who use a telecommunications device for the deaf (TDD) may call the Federal Relay Service (FRS) at 1-800-877-8339.

Individuals with disabilities may obtain a copy of the application package or a copy of this document in an alternative format (e.g., Braille, large print, audiotape, or computer diskette) on request to the person listed in this section.

Electronic Access to This Document:

You may view this document, as well as all other Department of Education documents published in the Federal Register, in text or Adobe Portable Document Format (PDF) on the Internet at the following site:

<http://www.ed.gov/news/fedregister>

To use PDF you must have Adobe Acrobat Reader, which is available free at this site. If you have questions about using PDF, call the U.S. Government Printing Office (GPO), toll free, at 1-888-293-6498; or in the Washington, DC, area at (202) 512-1530.

Note: The official version of this document is the document published in the Federal Register. Free Internet access to the official edition of the Federal Register and the Code of Federal Regulations is available on GPO Access at:

<http://www.gpoaccess.gov/nara/index.html>

PROGRAM AUTHORITY: 20 U.S.C. 7421 et seq.

Dated:

/s/ Henry L. Johnson
Henry L. Johnson,
Assistant Secretary for Elementary and
Secondary Education.

Title VII, Part A

Subpart 1 — Formula Grants to Local Educational Agencies

SEC. 7111 PURPOSE.

It is the purpose of this subpart to support local educational agencies in their efforts to reform elementary school and secondary school programs that serve Indian students in order to ensure that such programs —

- (1) are based on challenging State academic content and student academic achievement standards that are used for all students; and
- (2) are designed to assist Indian students in meeting those standards.

SEC. 7112 GRANTS TO LOCAL EDUCATIONAL AGENCIES AND TRIBES.

(a) **IN GENERAL-** The Secretary may make grants, from allocations made under section 7113, to local educational agencies and Indian tribes, in accordance with this section and section 7113.

(b) **LOCAL EDUCATIONAL AGENCIES-**

(1) **ENROLLMENT REQUIREMENTS-** A local educational agency shall be eligible for a grant under this subpart for any fiscal year if the number of Indian children eligible under section 7117 who were enrolled in the schools of the agency, and to whom the agency provided free public education, during the preceding fiscal year —

(A) was at least 10; or

(B) constituted not less than 25 percent of the total number of individuals enrolled in the schools of such agency.

(2) **EXCLUSION-** The requirement of paragraph (1) shall not apply in Alaska, California, or Oklahoma, or with respect to any local educational agency located on, or in proximity to, a reservation.

(c) **INDIAN TRIBES-**

(1) **IN GENERAL-** If a local educational agency that is otherwise eligible for a grant under this subpart does not establish a committee under section 7114(c)(4) for such grant, an Indian tribe that represents not less than 1/2 of the eligible Indian children who are served by such local educational agency may apply for such grant.

(2) **SPECIAL RULE-** The Secretary shall treat each Indian tribe applying for a grant pursuant to paragraph (1) as if such Indian tribe were a local educational agency for purposes of this subpart, except that any such tribe is not subject to section 7114(c)(4), section 7118(c), or section 7119.

SEC. 7113. AMOUNT OF GRANTS.

(a) **AMOUNT OF GRANT AWARDS-**

(1) **IN GENERAL-** Except as provided in subsection (b) and paragraph (2), the Secretary shall allocate to each local educational agency that has an approved application under this subpart an amount equal to the product of —

(A) the number of Indian children who are eligible under section 7117 and served by such agency; and

(B) the greater of —

(i) the average per pupil expenditure of the State in which such agency is located; or

(ii) 80 percent of the average per pupil expenditure of all the States.

(2) **REDUCTION-** The Secretary shall reduce the amount of each allocation otherwise determined under this section in accordance with subsection (e).

(b) **MINIMUM GRANT-**

(1) **IN GENERAL-** Notwithstanding subsection (e), an entity that is eligible for a grant under section 7112, and a school that is operated or supported by the Bureau of Indian Affairs that is eligible for a grant under subsection (d), that submits an application that is approved by the Secretary, shall, subject to appropriations, receive a grant under this subpart in an amount that is not less than \$3,000.

(2) **CONSORTIA-** Local educational agencies may form a consortium for the purpose of obtaining grants under this subpart.

(3) **INCREASE-** The Secretary may increase the minimum grant under paragraph (1) to not more than \$4,000 for all grantees if the Secretary determines such increase is necessary to ensure the quality of the programs provided.

(c) DEFINITION- For the purpose of this section, the term average per pupil expenditure', used with respect to a State, means an amount equal to —

(1) the sum of the aggregate current expenditures of all the local educational agencies in the State, plus any direct current expenditures by the State for the operation of such agencies, without regard to the sources of funds from which such local or State expenditures were made, during the second fiscal year preceding the fiscal year for which the computation is made; divided by

(2) the aggregate number of children who were included in average daily attendance for whom such agencies provided free public education during such preceding fiscal year.

(d) SCHOOLS OPERATED OR SUPPORTED BY THE BUREAU OF INDIAN AFFAIRS-

(1) IN GENERAL- Subject to subsection (e), in addition to the grants awarded under subsection (a), the Secretary shall allocate to the Secretary of the Interior an amount equal to the product of —

(A) the total number of Indian children enrolled in schools that are operated by —

(i) the Bureau of Indian Affairs; or

(ii) an Indian tribe, or an organization controlled or sanctioned by an Indian tribal government, for the children of that tribe under a contract with, or grant from, the Department of the Interior under the Indian Self-Determination Act or the Tribally Controlled Schools Act of 1988; and

(B) the greater of —

(i) the average per pupil expenditure of the State in which the school is located; or

(ii) 80 percent of the average per pupil expenditure of all the States.

(2) SPECIAL RULE- Any school described in paragraph (1)(A) that wishes to receive an allocation under this subpart shall submit an application in accordance with section 7114, and shall otherwise be treated as a local educational agency for the purpose of this subpart, except that such school shall not be subject to section 7114(c)(4), section 7118(c), or section 7119.

(e) RATABLE REDUCTIONS- If the sums appropriated for any fiscal year under section 7152(a) are insufficient to pay in full the amounts determined for local educational agencies under subsection (a)(1) and for the Secretary of the Interior under subsection (d), each of those amounts shall be ratably reduced.

SEC. 7114. APPLICATIONS.

(a) APPLICATION REQUIRED- Each local educational agency that desires to receive a grant under this subpart shall submit an application to the Secretary at such time, in such manner, and containing such information as the Secretary may reasonably require.

(b) COMPREHENSIVE PROGRAM REQUIRED- Each application submitted under subsection (a) shall include a description of a comprehensive program for meeting the needs of Indian children served by the local educational agency, including the language and cultural needs of the children, that —

(1) describes how the comprehensive program will offer programs and activities to meet the culturally related academic needs of American Indian and Alaska Native students;

(2)(A) is consistent with the State and local plans submitted under other provisions of this Act; and

(B) includes academic content and student academic achievement goals for such children, and benchmarks for attaining such goals, that are based on the challenging State academic content and student academic achievement standards adopted under title I for all children;

(3) explains how Federal, State, and local programs, especially programs carried out under title I, will meet the needs of such students;

(4) demonstrates how funds made available under this subpart will be used for activities described in section 7115;

(5) describes the professional development opportunities that will be provided, as needed, to ensure that —

(A) teachers and other school professionals who are new to the Indian community are prepared to work with Indian children; and

(B) all teachers who will be involved in programs assisted under this subpart have been properly trained to carry out such programs; and

(6) describes how the local educational agency —

(A) will periodically assess the progress of all Indian children enrolled in the schools of the local educational agency, including Indian children who do not participate in programs assisted under this subpart, in meeting the goals described in paragraph (2);

(B) will provide the results of each assessment referred to in subparagraph (A) to--

(i) the committee described in subsection (c)(4); and

(ii) the community served by the local educational agency; and

(C) is responding to findings of any previous assessments that are similar to the assessments described in subparagraph (A).

(c) ASSURANCES— Each application submitted under subsection (a) shall include assurances that--

(1) the local educational agency will use funds received under this subpart only to supplement the funds that, in the absence of the Federal funds made available under this subpart, such agency would make available for the education of Indian children, and not to supplant such funds;

(2) the local educational agency will prepare and submit to the Secretary such reports, in such form and containing such information, as the Secretary may require to—

(A) carry out the functions of the Secretary under this subpart; and

(B) determine the extent to which activities carried out with funds provided to the local educational agency under this subpart are effective in improving the educational achievement of Indian students served by such agency;

(3) the program for which assistance is sought—

(A) is based on a comprehensive local assessment and prioritization of the unique educational and culturally related academic needs of the American Indian and Alaska Native students for whom the local educational agency is providing an education;

(B) will use the best available talents and resources, including individuals from the Indian community; and

(C) was developed by such agency in open consultation with parents of Indian children and teachers, and, if appropriate, Indian students from secondary schools, including through public hearings held by such agency to provide to the individuals described in this subparagraph a full opportunity to understand the program and to offer recommendations regarding the program; and

(4) the local educational agency developed the program with the participation and written approval of a committee—

(A) that is composed of, and selected by--

(i) parents of Indian children in the local educational agency's schools;

(ii) teachers in the schools; and

(iii) if appropriate, Indian students attending secondary schools of the agency;

(B) a majority of whose members are parents of Indian children;

(C) that has set forth such policies and procedures, including policies and procedures relating to the hiring of personnel, as will ensure that the program for which assistance is sought will be operated and evaluated in consultation with, and with the involvement of, parents of the children, and representatives of the area, to be served;

(D) with respect to an application describing a school-wide program in accordance with section 7115(c), that has—

(i) reviewed in a timely fashion the program; and

(ii) determined that the program will not diminish the availability of culturally related activities for American Indian and Alaska Native students; and

(E) that has adopted reasonable bylaws for the conduct of the activities of the committee and abides by such bylaws.

SEC. 7115. AUTHORIZED SERVICES AND ACTIVITIES.

(a) GENERAL REQUIREMENTS- Each local educational agency that receives a grant under this subpart shall use the grant funds, in a manner consistent with the purpose specified in section 7111, for services and activities that —

(1) are designed to carry out the comprehensive program of the local educational agency for Indian students, and described in the application of the local educational agency submitted to the Secretary under section 7114(a);

(2) are designed with special regard for the language and cultural needs of the Indian students; and

(3) supplement and enrich the regular school program of such agency.

(b) PARTICULAR ACTIVITIES- The services and activities referred to in subsection (a) may include —

(1) culturally related activities that support the program described in the application submitted by the local educational agency;

(2) early childhood and family programs that emphasize school readiness;

(3) enrichment programs that focus on problem solving and cognitive skills development and directly support the attainment of challenging State academic content and student academic achievement standards;

(4) integrated educational services in combination with other programs that meet the needs of Indian children and their families;

(5) career preparation activities to enable Indian students to participate in programs such as the programs supported by the Carl D. Perkins Vocational and Technical Education Act of 1998, including programs for tech-prep

education, mentoring, and apprenticeship;

(6) activities to educate individuals concerning substance abuse and to prevent substance abuse;

(7) the acquisition of equipment, but only if the acquisition of the equipment is essential to achieve the purpose described in section 7111;

(8) activities that promote the incorporation of culturally responsive teaching and learning strategies into the educational program of the local educational agency;

(9) activities that incorporate American Indian and Alaska Native specific curriculum content, consistent with State standards, into the curriculum used by the local educational agency;

(10) family literacy services; and

(11) activities that recognize and support the unique cultural and educational needs of Indian children, and incorporate appropriately qualified tribal elders and seniors.

(c) **SCHOOLWIDE PROGRAMS**- Notwithstanding any other provision of law, a local educational agency may use funds made available to such agency under this subpart to support a schoolwide program under section 1114 if —

(1) the committee established pursuant to section 7114(c)(4) approves the use of the funds for the schoolwide program; and

(2) the schoolwide program is consistent with the purpose described in section 7111.

(d) **LIMITATION ON ADMINISTRATIVE COSTS**- Not more than 5 percent of the funds provided to a grantee under this subpart for any fiscal year may be used for administrative purposes.

SEC. 7116. INTEGRATION OF SERVICES AUTHORIZED.

(a) **PLAN**- An entity receiving funds under this subpart may submit a plan to the Secretary for the integration of education and related services provided to Indian students.

(b) **CONSOLIDATION OF PROGRAMS**- Upon the receipt of an acceptable plan under subsection (a), the Secretary, in cooperation with each Federal agency providing grants for the provision of education and related services to the entity, shall authorize the entity to consolidate, in accordance with such plan, the federally funded education and related services programs of the entity and the Federal programs, or portions of the programs, serving Indian students in a manner that integrates the program services involved into a single, coordinated, comprehensive program and reduces administrative costs by consolidating administrative functions.

(c) **PROGRAMS AFFECTED**- The funds that may be consolidated in a demonstration project under any such plan referred to in subsection (a) shall include funds for any Federal program exclusively serving Indian children, or the funds reserved under any Federal program to exclusively serve Indian children, under which the entity is eligible for receipt of funds under a statutory or administrative formula for the purposes of providing education and related services that would be used to serve Indian students.

(d) **PLAN REQUIREMENTS**- For a plan to be acceptable pursuant to subsection (b), the plan shall —

(1) identify the programs or funding sources to be consolidated;

(2) be consistent with the objectives of this section concerning authorizing the services to be integrated in a demonstration project;

(3) describe a comprehensive strategy that identifies the full range of potential educational opportunities and related services to be provided to assist Indian students to achieve the objectives set forth in this subpart;

(4) describe the way in which services are to be integrated and delivered and the results expected from the plan;

(5) identify the projected expenditures under the plan in a single budget;

(6) identify the State, tribal, or local agency or agencies to be involved in the delivery of the services integrated under the plan;

(7) identify any statutory provisions, regulations, policies, or procedures that the entity believes need to be waived in order to implement the plan;

(8) set forth measures for academic content and student academic achievement goals designed to be met within a specific period of time; and

(9) be approved by a committee formed in accordance with section 7114(c)(4), if such a committee exists.

(e) **PLAN REVIEW**- Upon receipt of the plan from an eligible entity, the Secretary shall consult with the Secretary of each Federal department providing funds to be used to implement the plan, and with the entity submitting the plan. The parties so consulting shall identify any waivers of statutory requirements or of Federal departmental regulations, policies, or procedures necessary to enable the entity to implement the plan. Notwithstanding any other provision of law, the Secretary of the affected department shall have the authority to waive any regulation, policy, or procedure promulgated by that department that has been so identified by the entity or department, unless the Secretary of the affected department determines that such a waiver is inconsistent with the objectives of this subpart

or those provisions of the statute from which the program involved derives authority that are specifically applicable to Indian students.

(f) **PLAN APPROVAL-** Within 90 days after the receipt of an entity's plan by the Secretary, the Secretary shall inform the entity, in writing, of the Secretary's approval or disapproval of the plan. If the plan is disapproved, the entity shall be informed, in writing, of the reasons for the disapproval and shall be given an opportunity to amend the plan or to petition the Secretary to reconsider such disapproval.

(g) **RESPONSIBILITIES OF DEPARTMENT OF EDUCATION-** Not later than 180 days after the date of enactment of the No Child Left Behind Act of 2001, the Secretary of Education, the Secretary of the Interior, and the head of any other Federal department or agency identified by the Secretary of Education, shall enter into an interdepartmental memorandum of agreement providing for the implementation of the demonstration projects authorized under this section. The lead agency head for a demonstration project under this section shall be —

(1) the Secretary of the Interior, in the case of an entity meeting the definition of a contract or grant school under title XI of the Education Amendments of 1978; or

(2) the Secretary of Education, in the case of any other entity.

(h) **RESPONSIBILITIES OF LEAD AGENCY-** The responsibilities of the lead agency shall include —

(1) the use of a single report format related to the plan for the individual project, which shall be used by an eligible entity to report on the activities undertaken under the project;

(2) the use of a single report format related to the projected expenditures for the individual project which shall be used by an eligible entity to report on all project expenditures;

(3) the development of a single system of Federal oversight for the project, which shall be implemented by the lead agency; and

(4) the provision of technical assistance to an eligible entity appropriate to the project, except that an eligible entity shall have the authority to accept or reject the plan for providing such technical assistance and the technical assistance provider.

(i) **REPORT REQUIREMENTS-** A single report format shall be developed by the Secretary, consistent with the requirements of this section. Such report format shall require that reports described in subsection (h), together with records maintained on the consolidated program at the local level, shall contain such information as will allow a determination that the eligible entity has complied with the requirements incorporated in its approved plan, including making a demonstration of student academic achievement, and will provide assurances to each Secretary that the eligible entity has complied with all directly applicable statutory requirements and with those directly applicable regulatory requirements that have not been waived.

(j) **NO REDUCTION IN AMOUNTS-** In no case shall the amount of Federal funds available to an eligible entity involved in any demonstration project be reduced as a result of the enactment of this section.

(k) **INTERAGENCY FUND TRANSFERS AUTHORIZED-** The Secretary is authorized to take such action as may be necessary to provide for an interagency transfer of funds otherwise available to an eligible entity in order to further the objectives of this section.

(l) **ADMINISTRATION OF FUNDS-**

(1) **IN GENERAL-** Program funds for the consolidated programs shall be administered in such a manner as to allow for a determination that funds from a specific program are spent on allowable activities authorized under such program, except that the eligible entity shall determine the proportion of the funds granted that shall be allocated to such program.

(2) **SEPARATE RECORDS NOT REQUIRED-** Nothing in this section shall be construed as requiring the eligible entity to maintain separate records tracing any services or activities conducted under the approved plan to the individual programs under which funds were authorized for the services or activities, nor shall the eligible entity be required to allocate expenditures among such individual programs.

(m) **OVERAGE-** The eligible entity may commingle all administrative funds from the consolidated programs and shall be entitled to the full amount of such funds (under each program's or agency's regulations). The overage (defined as the difference between the amount of the commingled funds and the actual administrative cost of the programs) shall be considered to be properly spent for Federal audit purposes, if the overage is used for the purposes provided for under this section.

(n) **FISCAL ACCOUNTABILITY-** Nothing in this part shall be construed so as to interfere with the ability of the Secretary or the lead agency to fulfill the responsibilities for the safeguarding of Federal funds pursuant to chapter 75 of title 31, United States Code.

(o) **REPORT ON STATUTORY OBSTACLES TO PROGRAM INTEGRATION-**

(1) **PRELIMINARY REPORT-** Not later than 2 years after the date of enactment of the No Child Left Behind Act of 2001, the Secretary of Education shall submit a preliminary report to the Committee on Education and the Workforce and the Committee on Resources of the House of Representatives and the Committee on Health,

Education, Labor, and Pensions and the Committee on Indian Affairs of the Senate on the status of the implementation of the demonstration projects authorized under this section.

(2) FINAL REPORT- Not later than 5 years after the date of enactment of the No Child Left Behind Act of 2001, the Secretary of Education shall submit a report to the Committee on Education and the Workforce and the Committee on Resources of the House of Representatives and the Committee on Health, Education, Labor, and Pensions and the Committee on Indian Affairs of the Senate on the results of the implementation of the demonstration projects authorized under this section. Such report shall identify statutory barriers to the ability of participants to integrate more effectively their education and related services to Indian students in a manner consistent with the objectives of this section.

(p) DEFINITIONS- For the purposes of this section, the term Secretary' means —

(1) the Secretary of the Interior, in the case of an entity meeting the definition of a contract or grant school under title XI of the Education Amendments of 1978; or

(2) the Secretary of Education, in the case of any other entity.

SEC. 7117. STUDENT ELIGIBILITY FORMS.

(a) IN GENERAL- The Secretary shall require that, as part of an application for a grant under this subpart, each applicant shall maintain a file, with respect to each Indian child for whom the local educational agency provides a free public education, that contains a form that sets forth information establishing the status of the child as an Indian child eligible for assistance under this subpart, and that otherwise meets the requirements of subsection (b).

(b) FORMS- The form described in subsection (a) shall include —

(1) either —

(A)(i) the name of the tribe or band of Indians (as defined in section 7151) with respect to which the child claims membership;

(ii) the enrollment number establishing the membership of the child (if readily available); and

(iii) the name and address of the organization that maintains updated and accurate membership data for such tribe or band of Indians; or

(B) the name, the enrollment number (if readily available), and the name and address of the organization responsible for maintaining updated and accurate membership data, of any parent or grandparent of the child from whom the child claims eligibility under this subpart, if the child is not a member of the tribe or band of Indians (as so defined);

(2) a statement of whether the tribe or band of Indians (as so defined), with respect to which the child, or parent or grandparent of the child, claims membership, is federally recognized;

(3) the name and address of the parent or legal guardian of the child;

(4) a signature of the parent or legal guardian of the child that verifies the accuracy of the information supplied; and

(5) any other information that the Secretary considers necessary to provide an accurate program profile.

(c) STATUTORY CONSTRUCTION- Nothing in this section shall be construed to affect a definition contained in section 7151.

(d) FORMS AND STANDARDS OF PROOF- The forms and the standards of proof (including the standard of good faith compliance) that were in use during the 1985-86 academic year to establish the eligibility of a child for entitlement under the Indian Elementary and Secondary School Assistance Act shall be the forms and standards of proof used —

(1) to establish eligibility under this subpart; and

(2) to meet the requirements of subsection (a).

(e) DOCUMENTATION- For purposes of determining whether a child is eligible to be counted for the purpose of computing the amount of a grant award under section 7113, the membership of the child, or any parent or grandparent of the child, in a tribe or band of Indians (as so defined) may be established by proof other than an enrollment number, notwithstanding the availability of an enrollment number for a member of such tribe or band. Nothing in subsection (b) shall be construed to require the furnishing of an enrollment number.

(f) MONITORING AND EVALUATION REVIEW-

(1) IN GENERAL-

(A) REVIEW- For each fiscal year, in order to provide such information as is necessary to carry out the responsibility of the Secretary to provide technical assistance under this subpart, the Secretary shall conduct a monitoring and evaluation review of a sampling of the recipients of grants under this subpart. The sampling conducted under this subparagraph shall take into account the size of and the geographic location of each local educational agency.

(B) EXCEPTION- A local educational agency may not be held liable to the United States or be subject to any penalty, by reason of the findings of an audit that relates to the date of completion, or the date of submission, of any forms used to establish, before April 28, 1988, the eligibility of a child for an entitlement under the Indian Elementary and Secondary School Assistance Act.

(2) FALSE INFORMATION- Any local educational agency that provides false information in an application for a grant under this subpart shall —

(A) be ineligible to apply for any other grant under this subpart; and

(B) be liable to the United States for any funds from the grant that have not been expended.

(3) EXCLUDED CHILDREN- A student who provides false information for the form required under subsection (a) shall not be counted for the purpose of computing the amount of a grant under section 7113.

(g) TRIBAL GRANT AND CONTRACT SCHOOLS- Notwithstanding any other provision of this section, in calculating the amount of a grant under this subpart to a tribal school that receives a grant or contract from the Bureau of Indian Affairs, the Secretary shall use only one of the following, as selected by the school:

(1) A count of the number of students in the schools certified by the Bureau.

(2) A count of the number of students for whom the school has eligibility forms that comply with this section.

(h) TIMING OF CHILD COUNTS- For purposes of determining the number of children to be counted in calculating the amount of a local educational agency's grant under this subpart (other than in the case described in subsection (g)(1)), the local educational agency shall —

(1) establish a date on, or a period not longer than 31 consecutive days during, which the agency counts those children, if that date or period occurs before the deadline established by the Secretary for submitting an application under section 7114; and

(2) determine that each such child was enrolled, and receiving a free public education, in a school of the agency on that date or during that period, as the case may be.

SEC. 7118. PAYMENTS.

(a) IN GENERAL- Subject to subsections (b) and (c), the Secretary shall pay to each local educational agency that submits an application that is approved by the Secretary under this subpart the amount determined under section 7113. The Secretary shall notify the local educational agency of the amount of the payment not later than June 1 of the year for which the Secretary makes the payment.

(b) PAYMENTS TAKEN INTO ACCOUNT BY THE STATE- The Secretary may not make a grant under this subpart to a local educational agency for a fiscal year if, for such fiscal year, the State in which the local educational agency is located takes into consideration payments made under this chapter in determining the eligibility of the local educational agency for State aid, or the amount of the State aid, with respect to the free public education of children during such fiscal year or the preceding fiscal year.

(c) REDUCTION OF PAYMENT FOR FAILURE TO MAINTAIN FISCAL EFFORT-

(1) IN GENERAL- The Secretary may not pay a local educational agency the full amount of a grant award determined under section 7113 for any fiscal year unless the State educational agency notifies the Secretary, and the Secretary determines, that with respect to the provision of free public education by the local educational agency for the preceding fiscal year, the combined fiscal effort of the local educational agency and the State, computed on either a per student or aggregate expenditure basis, was not less than 90 percent of the amount of the combined fiscal effort, computed on the same basis, for the second preceding fiscal year.

(2) FAILURE TO MAINTAIN EFFORT- If, for the preceding fiscal year, the Secretary determines that a local educational agency and State failed to maintain the combined fiscal effort for such agency at the level specified in paragraph (1), the Secretary shall —

(A) reduce the amount of the grant that would otherwise be made to such agency under this subpart in the exact proportion of the failure to maintain the fiscal effort at such level; and

(B) not use the reduced amount of the agency and State expenditures for the preceding year to determine compliance with paragraph (1) for any succeeding fiscal year, but shall use the amount of expenditures that would have been required to comply with paragraph (1).

(3) WAIVER-

(A) IN GENERAL- The Secretary may waive the requirement of paragraph (1) for a local educational agency, for not more than 1 year at a time, if the Secretary determines that the failure to comply with such requirement is due to exceptional or uncontrollable circumstances, such as a natural disaster or a precipitous and unforeseen decline in the agency's financial resources.

(B) FUTURE DETERMINATIONS- The Secretary shall not use the reduced amount of the agency's

expenditures for the fiscal year preceding the fiscal year for which a waiver is granted to determine compliance with paragraph (1) for any succeeding fiscal year, but shall use the amount of expenditures that would have been required to comply with paragraph (1) in the absence of the waiver.

(d) REALLOCATIONS- The Secretary may reallocate, in a manner that the Secretary determines will best carry out the purpose of this subpart, any amounts that —

(1) based on estimates made by local educational agencies or other information, the Secretary determines will not be needed by such agencies to carry out approved programs under this subpart; or

(2) otherwise become available for reallocation under this subpart.

SEC. 7119. STATE EDUCATIONAL AGENCY REVIEW.

Before submitting an application to the Secretary under section 7114, a local educational agency shall submit the application to the State educational agency, which may comment on such application. If the State educational agency comments on the application, the agency shall comment on all applications submitted by local educational agencies in the State and shall provide those comments to the respective local educational agencies, with an opportunity to respond.

Subpart 5 — Definitions; Authorizations of Appropriations

SEC. 7151. DEFINITIONS.

For the purposes of this part:

(1) ADULT- The term adult' means an individual who —

(A) has attained the age of 16 years; or

(B) has attained an age that is greater than the age of compulsory school attendance under an applicable State law.

(2) FREE PUBLIC EDUCATION- The term free public education' means education that is —

(A) provided at public expense, under public supervision and direction, and without tuition charge; and

(B) provided as elementary or secondary education in the applicable State or to preschool children.

(3) INDIAN- The term Indian' means an individual who is —

(A) a member of an Indian tribe or band, as membership is defined by the tribe or band, including —

(i) any tribe or band terminated since 1940; and

(ii) any tribe or band recognized by the State in which the tribe or band resides;

(B) a descendant, in the first or second degree, of an individual described in subparagraph (A);

(C) considered by the Secretary of the Interior to be an Indian for any purpose;

(D) an Eskimo, Aleut, or other Alaska Native; or

(E) a member of an organized Indian group that received a grant under the Indian Education Act of 1988 as in effect the day preceding the date of enactment of the Improving America's Schools Act of 1994.

SECTION C – IMPORTANT INFORMATION AND NOTICES

Contents:

- Important Notice to Prospective Participants in U.S. Department of Education Contract and Grant Programs
- Executive Order 12372 and State Single Point of Contact – electronic access at:
<http://www.ed.gov/teachtech/spoint.html>
- DUNS Number Instructions
- Performance Indicators – electronic access at:
- OIE Contacts
- Indian Student Eligibility Certification Form (ED Form 506)
- Application Transmittal Instructions

IMPORTANT NOTICE TO PROSPECTIVE PARTICIPANTS
IN USDE CONTRACT AND GRANT PROGRAMS

GRANTS

Applicants for grants from the U.S. Department of Education (USDE) have to compete for limited funds.

Deadlines assure all applicants that they will be treated fairly and equally, without last minute haste.

For these reasons, USDE must set strict deadlines for grant applications. Prospective applicants can avoid disappointment if they understand that -

Formula grant applications not meeting the deadline will not be considered for funding in the initial allocation of awards. Applications not meeting the deadline may be considered for funding if the Secretary determines, under Section 7118(d) of the No Child Left Behind Act, that funds are available and that reallocation of those funds to such applications would best assist in advancing the purposes of the program. However, the amount and date of an individual award, if any, made under Section 7118(d) of the Act may not be the same to which the applicant would have been entitled if the application had been submitted on time.

The rules, including the deadline, for applying for each grant are published, individually, in the Federal Register. A one-year subscription to the Register may be obtained by sending \$340.00 to: Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402-9371. (Send check or money order only, no cash or stamps.)

The instructions in the Federal Register must be followed exactly. Do not accept any other advice you may receive. No USDE employee is authorized to extend any deadline published in the Register.

Questions regarding submission of applications may be addressed to:

U.S. Department of Education
Office of Indian Education
400 Maryland Ave., SW
Washington, D.C. 20202-6335

CONTRACTS

Competitive procurement actions undertaken by the USDE are governed by the Federal Procurement Regulations and implementing ED Procurement Regulations.

Generally, prospective competitive procurement actions are synopsized in the Commerce Business Daily (CBD). Prospective offerors are therein advised of the nature of the procurement and where to apply for copies of the Request for Proposals.

Offerors are advised to be guided solely by the contents of the CBD synopsis and the instructions contained in the Request for Proposals (RFP). Questions regarding the submission of offers should be addressed to the Contracting Officer identified on the face page of the RFP.

Offers are judged in competition with others, and failure to conform with any substantive requirements of the RFP will result in rejection of the offer without any consideration whatever.

Do not accept any advice you receive that is contrary to instructions contained in either the CBD synopsis or the RFP. No USDE employee is authorized to consider a proposal which is non-responsive to the RFP.

A subscription to the CBD is available for \$208.00 per year via second class mailing or \$261.00 per year via first class mailing. Information included in the Federal Acquisition Regulations is contained in Title 48, Code of Federal Regulations, Chapter 1 (\$49.00). The foregoing publication may be obtained by sending your check or money order only, no cash or stamps, to:

Superintendent of Documents
U.S. Government Printing Office
Washington, D.C. 20402-9371

In an effort to be certain this important information is widely disseminated, this notice is being included in all USDE mail to the public. You may, therefore, receive more than one notice. If you do, we apologize for any annoyance it may cause you.

INTERGOVERNMENT REVIEW OF FEDERAL PROGRAMS

This appendix applies to each program that is subject to the requirements of Executive Order 12372 (Intergovernmental Review of Federal Programs) and the regulations in 34 CFR Part 79.

The objective of the Executive order is to foster an intergovernmental partnership and to strengthen federalism by relying on State and local processes for State and local government coordination and review of proposed Federal financial assistance.

Applicants must contact the appropriate State Single Point of Contact to find out about, and to comply with, the State's process under Executive Order 12372. Applicants proposing to perform activities in more than one State should immediately contact the Single Point of Contact for each of those States and follow the procedure established in each of those States under the Executive order. A listing containing the Single Point of Contact for each State is included in this appendix.

In States that have not established a process or chosen a program for review, State, areawide, regional, and local entities may submit comments directly to the Department. Any State Process Recommendation and other comments submitted by a State Single Point of Contact and any comments from State, areawide, regional, and local entities must be mailed or hand-delivered by the date indicated in the actual application notice to the following address: The Secretary, EO 12372—CFDA 84.299A, U.S. Department of Education, room 6213, 600 Independence Avenue, SW., Washington, DC 20202-0124.

Proof of mailing will be determined on the same basis as applications (see 34 CFR 75.102). Recommendations or comments may be hand-delivered until 4:30 p.m. (Washington, DC time) on the date indicated in the actual application notice.

PLEASE NOTE THAT THE ABOVE ADDRESS IS NOT THE SAME ADDRESS AS THE ONE TO WHICH THE APPLICANT SUBMITS ITS COMPLETED APPLICATION. DO NOT SEND APPLICATIONS TO THE ABOVE ADDRESS.

STATE SINGLE POINTS OF CONTACT

Note: In accordance with Executive Order #12372, this listing represents the designated State Single Points of Contact. Because participation is voluntary, some States and Territories no longer participate in the process. The jurisdictions not listed no longer participate in the process. However, an applicant is still eligible to apply for a grant or grants even if its respective State, Territory, Commonwealth, etc. does not have a State Single Point of Contact.

ARKANSAS Tracy L. Copeland Manager, State Clearinghouse Office of Intergovernmental Services Department of Finance and Admin. 1515 W. 7th St., Room 412 Little Rock, Arkansas 72203 Telephone: (501) 682-1074 Fax: (501) 682-5206 tracy.copeland@dfa.state.ar.us	CALIFORNIA Grants Coordination State Clearinghouse Office of Planning and Research P.O. Box 3044, Room 222 Sacramento, California 95812-3044 Telephone: (916) 445-0613 Fax: (916) 323-3018 state.clearinghouse@opr.ca.gov
DELAWARE Ellen P. McDowell Federal Aid Coordinator Office of Management and Budget 540 S. Dupont Highway, 3rd Floor Dover, Delaware 19901 Telephone: (302) 739-3327 Fax: (302) 739-5661 ellen.mcdowell@state.de.us	DISTRICT OF COLUMBIA Marlene Jefferson DC Government Office of Partnerships and Grants Development 441 4th Street, NW Washington, DC 20001 Telephone: (202) 727-6518 Fax: (202) 727-1652 marlene.jefferson@dc.gov
FLORIDA Lauren P. Milligan Florida State Clearinghouse Florida Dept. of Environmental Protection 3900 Commonwealth Boulevard Mail Station 47 Tallahassee, Florida 32399-3000 Telephone: (850) 245-2161 Fax: (850) 245-2190 Lauren.Milligan@dep.state.fl.us	GEORGIA Barbara Jackson Georgia State Clearinghouse 270 Washington Street, SW, 8 th Floor Atlanta, Georgia 30334 Telephone: (404) 656-3855 Fax: (404) 656-7916 gach@mail.opb.state.ga.us
ILLINOIS Roukaya McCaffrey Department of Commerce and Economic Opportunities 620 East Adams, 6th Floor Springfield, Illinois 62701 Telephone: (217) 524-0188 Fax: (217) 558-0473 roukaya_mccaffrey@illinoisbiz.biz	IOWA Kathy Mabie Iowa Department of Management State Capitol Building Room G12 1007 E Grand Avenue Des Moines, Iowa 50319 Telephone: (515) 281-8834 Fax: (515) 242-5897 Kathy.Mabie@iowa.gov
KENTUCKY Ron Cook The Governor's Office for Local Development 1024 Capital Center Drive, Suite 340 Frankfort, Kentucky 40601 Telephone: (502) 573-2382 / (800) 346-5606 Fax: (502) 573-2512 Ron.Cook@Ky.Gov	MAINE Joyce Benson State Planning Office 184 State Street 38 State House Station Augusta, Maine 04333 Telephone: (207) 287-3261 (direct) (207) 287-1461 Fax: (207) 287-6489 joyce.benson@state.me.us
MARYLAND Linda C. Janey, J.D. Director, Maryland State Clearinghouse for Intergovernmental Assistance 301 West Preston Street, Room 1104 Baltimore, Maryland 21201-2305 Telephone: (410) 767-4490 Fax: (410) 767-4480 ljaney@mdp.state.md.us	MICHIGAN Richard Pfaff Southeast Michigan Council of Governments 535 Griswold, Suite 300 Detroit, Michigan 48226 Telephone: (313) 961-4266 Fax: (313) 961-4869 pfaff@semcog.org

MISSISSIPPI Janet Riddell Clearinghouse Officer Department of Finance and Administration 1301 Woolfolk Building, Suite E 501 North West Street Jackson, Mississippi 39201 Telephone: (601) 359-6762 Fax: (601) 359-6758 JRiddell@dfa.state.ms.us	MISSOURI Sara VanderFeltz Federal Assistance Clearinghouse Office of Administration Commissioner's Office Capitol Building, Room 125 Jefferson City, Missouri 65102 Telephone: (573) 751-0337 Fax: (573) 751-1212 sara.vanderfeltz@oa.mo.gov
NEVADA Kimberley Perondi Department of Administration State Clearinghouse 209 E. Musser Street, Room 200 Carson City, Nevada 89701 Telephone: (775) 684-0209 Fax: (775) 684-0260 kperondi@budget.state.nv.us	NEW HAMPSHIRE MaryAnn Manoogian Director, New Hampshire Office of Energy and Planning Attn: Intergovernmental Review Process Benjamin Frost 57 Regional Drive Concord, New Hampshire 03301-8519 Telephone: (603) 271-2155 Fax: (603) 271-2615 irp@nh.gov
NEW YORK Linda Shkreli Office of Public Security Homeland Security Grants Coordination 633 3rd Avenue New York, NY 10017 Telephone: (212) 867-1289 Fax: (212) 867-1725	NORTH DAKOTA Jim Boyd ND Department of Commerce 1600 East Century Avenue, Suite 2 Bismarck, North Dakota 58502-2057 Telephone: (701) 328-2676 Fax: (701) 328-2308 jboyd@state.nd.us
RHODE ISLAND Joyce Karger Department of Administration One Capitol Hill Providence, Rhode Island 02908-5870 Telephone: (401) 222-6181 Fax: (401) 222-2083 jkarger@doa.state.ri.us	SOUTH CAROLINA Jean Ricard Office of State Budget 1201 Main Street, Suite 870 Columbia, South Carolina 29201 Telephone: (803) 734-1314 Fax: (803) 734-0645 JRicard@budget.sc.gov
TEXAS Denise S. Francis Director, State Grants Team Governor's Office of Budget and Planning P.O. Box 12428 Austin, Texas 78711 Telephone: (512) 305-9415 Fax: (512) 936-2681 dfrancis@governor.state.tx.us	UTAH Sophia DiCaro Utah State Clearinghouse Governor's Office of Planning and Budget Utah State Capitol Complex Suite E210 PO Box 142210 Salt Lake City, Utah 84114-2210 Telephone: (801) 538-1027 Fax: (801) 538-1547 sdicaro@utah.gov

WEST VIRGINIA Fred Cutlip, Director Community Development Division West Virginia Development Office Building #6, Room 553 Charleston, West Virginia 25305 Telephone: (304) 558-4010 Fax: (304) 558-3248 fcutlip@wvdo.org	WISCONSIN Division of Intergovernmental Relations Wisconsin Department of Administration 101 East Wilson Street, 10th Floor P.O. Box 8944 Madison, Wisconsin 53708 Telephone: (608) 266-7043 Fax: (608) 267-6917 SPOC@doa.state.wi.us
AMERICAN SAMOA Pat M. Galea'i Federal Grants/Programs Coordinator Office of Federal Programs/Office of the Governor Department of Commerce American Samoa Government Pago Pago, American Samoa 96799 Telephone: (684) 633-5155 Fax: (684) 633-4195 pmgaleai@samoatelco.com	GUAM Director Bureau of Budget and Mgmt. Research Office of the Governor P.O. Box 2950 Agana, Guam 96910 Telephone: 011-671-472-2285 Fax: 011-472-2825 jer@ns.gov.gu
NORTH MARIANA ISLANDS Ms. Jacoba T. Seman Federal Programs Coordinator Office of Management and Budget Office of the Governor Saipan, MP 96950 Telephone: (670) 664-2289 Fax: (670) 664-2272 omb.jseman@saipan.com	PUERTO RICO Jose Caballero / Mayra Silva Puerto Rico Planning Board Federal Proposals Review Office Minillas Government Center P.O. Box 41119 San Juan, Puerto Rico 00940-1119 Telephone: (787) 723-6190 Fax: (787) 722-6783
VIRGIN ISLANDS Ira Mills Director, Office of Management and Budget #41 Norre Gade Emancipation Garden Station, Second Floor Saint Thomas, Virgin Islands 00802 Telephone: (340) 774-0750 Fax: (340) 776-0069 irmills@usvi.org	

Note: This list is based on the most current information provided by the States. Changes to this list can be made only after OMB is notified by a State's officially designated representative. E-mail messages can be sent to ephillips@omb.eop.gov. Inquiries about obtaining a Federal grant should not be sent to the OMB e-mail.

DUNS NUMBER INSTRUCTIONS

D-U-N-S Number:

Please provide the applicant's D-U-N-S Number on the application cover page (ED 424 form, item #2). You can obtain your D-U-N-S Number at no charge by calling 1-800-333-0505 or by completing a D-U-N-S Number Request Form. The form can be obtained via the Internet at the following URL:

http://www.dnb.com/local_home/local_home_US/

The D-U-N-S Number is a unique nine-digit number that does not convey any information about the recipient. A built-in check digit helps assure the accuracy of the D-U-N-S Number. The ninth digit of each number is the check digit, which is mathematically related to the other digits. It lets computer systems determine if a D-U-N-S Number has been entered correctly.

Dun & Bradstreet, a global information services provider, has assigned D-U-N-S Numbers to over 43 million companies worldwide.

OFFICE OF INDIAN EDUCATION

400 Maryland Avenue, SW
Washington, DC 20202-6335

Acting Director
Deputy Director
Group Leader
Group Leader

Cathie Carothers
Cathie Carothers
Lana Shaughnessy
Bernard Garcia

202-260-7485
202-260-7485
202-205-2528
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Formula Team:

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E-mail format: **Firstname.Lastname@ed.gov**
(address is not case sensitive)

OIE Website: **<http://www.ed.gov/offices/oese/oie/index.html>**

OIE E-mail: **indian.education@ed.gov**

U.S. DEPARTMENT OF EDUCATION
OFFICE OF INDIAN EDUCATION
WASHINGTON, DC 20202
TITLE VII STUDENT ELIGIBILITY CERTIFICATION
Elementary and Secondary Education Act, Title VII, Part A, Subpart 1

Parents: Please return this completed form to your child's school. In order to apply for a formula grant under the Indian Education Program, your child's school must determine the number of Indian children enrolled. Any child who meets the following definition may be counted for this purpose. You are not required to complete or submit this form to the school. However, if you choose not to submit a form, the school cannot count your child for funding under the program. **This form will become part of your child's school record and will not need to be completed every year.** This form will be maintained at the school and information on the form will not be released without your written approval.

Definition: Indian means any individual who is (1) a member (as defined by the Indian tribe or band) of an Indian tribe or band, including those Indian tribe or bands terminated since 1940, and those recognized by the State in which the tribe or band reside; or (2) a descendent in the first or second degree (parent or grandparent) as described in (1); or (3) considered by the Secretary of the Interior to be an Indian for any purpose; or (4) an Eskimo or Aleut or other Alaska Native; or (5) a member of an organized Indian group that received a grant under the Indian Education Act of 1988 as it was in effect October 19, 1994.

NAME OF CHILD _____ Date of Birth _____
(As shown on school enrollment records)

School Name _____ Grade _____

NAME OF TRIBE, BAND OR GROUP _____

Tribe, Band or Group is: (check one)

<input type="checkbox"/> Federally Recognized, Including Alaska Native	<input type="checkbox"/> State Recognized	<input type="checkbox"/> Terminated	<input type="checkbox"/> Organized Indian Group Meeting #5 of the Definition Above
---	--	-------------------------------------	--

Name of individual with tribal membership: _____

Individual named is (check one): ☐ Child ☐ Child's Parent ☐ Child's
Grandparent

Proof of membership, as defined by tribe, band, or group is:

A. Membership or enrollment number (if readily available) _____ **OR**

B. Other (explain) _____

Name and address of organization maintaining membership data for the tribe, band or group:

I verify that the information provided above is accurate:

PARENT'S SIGNATURE _____ DATE _____

Mailing Address _____ Telephone _____

Notice: Public Reporting Burden Notice on Reverse Side

PAPERWORK BURDEN STATEMENT

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1810-0021. The time required to complete this portion of the information collection per type of respondent is estimated to average: 15 minutes per Indian student certification (ED 506) form; including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. **If you have any comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to:** U.S. Department of Education, Washington, D.C. 20202-4651. **If you have comments or concerns regarding the status of your individual submission of this form, write directly to:** Office of Indian Education, U.S. Department of Education, 400 Maryland Avenue, S.W., FOB-6/Room 5C152, Washington, D.C. 20202-6335.

APPLICATION TRANSMITTAL INSTRUCTIONS

INDIAN EDUCATION FORMULA GRANTS

An application for an award must be submitted by the closing date.

TRANSMITTAL INSTRUCTIONS:

If you want to apply for a grant and be considered for funding, you must meet the following deadline requirements:

(A) If You Send Your Application by Mail -

You must mail the original and two copies of the application on or before the deadline date to:

U.S. Department of Education
Office of Indian Education
Attention: CFDA Number 84.060
400 Maryland Ave., SW, Room 5C152
Washington, DC 20202-6335

You must show one of the following as proof of mailing:

- (1) A legibly dated U.S. Postal Service postmark.
- (2) A legible mail receipt with the date of mailing stamped by the U.S. Postal Service.
- (3) A dated shipping label, invoice, or receipt from a commercial carrier.
- (4) Any other proof of mailing acceptable to the Secretary.

Note: Mail screening procedures used by the government may obliterate some types of mailing labels with the addressee name causing a delay in receipt or an undeliverable application. Applicants are advised not to use address labels on the envelope when mailing application materials.

If you mail an application through the U.S. Postal Service, we do not accept either of the following as proof of mailing:

- (1) A private metered postmark, or
- (2) A mail receipt that is not dated by the U.S. Postal Service.

(B) If You Deliver Your Application by Hand:

You or your courier must hand deliver the original and two copies of the application by 4:30 p.m. (Washington, DC time) on or before the deadline date to:

Lana Shaughnessy
Office of Indian Education
U.S. Department of Education
400 Maryland Ave., SW, Room 5C152
Attention: CFDA # 84.060A
Washington, DC 20202-6335

The Program Office will accept application deliveries daily between 8:00 a.m. and 4:30 p.m. (Washington, DC time), except Saturdays, Sundays, and Federal holidays. A person delivering an application must show identification to enter the building.

NOTES ON TRANSMITTAL OF APPLICATIONS:

- (1) The U.S. Postal Service does not uniformly provide a dated postmark. Before relying on this method, you should check with your local post office.
- (2) If you send your application by mail or deliver it by hand or by a courier service, the Program office will mail a Grant Application Receipt Acknowledgment to you. If you do not receive the notification of application receipt within 15 days from the date of mailing the application, you should call the Office of Indian Education at (202) 260-3774.
- (3) You must indicate on the envelope and -- if not provided by the Department -- in Item 3 of the Application for Federal Education Assistance (ED 424) the CFDA number -- and suffix letter, if any -- of the competition under which you are submitting your application.

PERFORMANCE INDICATORS

CFDA Number: 84.060 - Indian Education Grants to Local Educational Agencies

Program Goal: To help American Indian and Alaska Native children achieve to the same challenging standards expected of all students by supporting access to programs that meet their unique educational and culturally related academic need.

Objective 8.1 of 1: American Indian and Alaska Native students served by LEAs' receiving Indian Education Formula Grants will progress at rates similar to those for all students in achievement to standards, promotion, and graduation.

Indicator 8.1.1 of 1: Student achievement: Increasing percentages of American Indian and Alaska Native students will meet or exceed the performance standards established by national assessments.

Targets and Performance Data			Assessment of Progress	Sources and Data Quality
<i>The percentage of American Indian and Alaska Native students in grade four who were at or above basic level in reading on NAEP.</i>			Explanation: NAEP assessments for reading and math are not administered annually; therefore, no target have been set for FY 2006. National trends indicate performance in reading and math are declining.	Additional Source Information: National Assessment of Educational Progress, 2000, 2002; Schools and Staffing Survey, 1997. Frequency: Biennially. Collection Period: 2004 - 2005 Data Available: October 2005 Validated By: NCES. Data validated by National Center for Education Statistics review procedures and statistical standards. Limitations: The small sample (for the subpopulation of American Indian and Alaska Native students) means there is a high degree of standard error surrounding the estimates and limits data collection and possibilities for comparison to other populations. These estimates will vary greatly until a larger population is surveyed.
Year	Actual Performance	Performance Targets		
2000	63			
2002	51	60		
2003	47	62		
2005		53		
<i>The percentage of American Indian and Alaska Native students in grade eight who were at or above basic level in reading on NAEP.</i>				
Year	Actual Performance	Performance Targets		
2002	61			
2003	57	66		
2005		63		
<i>The percentage of American Indian and Alaska Native students in grade four who scored at or above basic level in math on NAEP.</i>				
Year	Actual Performance	Performance Targets		
1996	57			
2000	40			
2002		64		
2003	64	66		
2005		66		
<i>The percentage of American Indian and Alaska Native students in grade eight who scored at or above basic level in math on NAEP.</i>				
Year	Actual Performance	Performance Targets		
1996	51			
2000	47			
2002		62		
2003	52	64		
2005		54		

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SECTION D – GENERAL INSTRUCTIONS AND APPLICATION FORMS

Contents:

PART 1 – Guidance for All Applicants

PART 2 – Instructions and Standard Forms

PART 3 – Instructions and Program Forms for New Applications

PART 4 – Instructions and Program Forms for Continuation Applications

Submit applications to:

**U.S. Department of Education
Office of Indian Education
Attention: CFDA #84.060
400 Maryland Ave., SW, Room 5C152
Washington, DC 20202-6335**

PART 1 – GUIDANCE FOR ALL APPLICANTS

The instructions for submission contained in the application package and the closing announcement printed in the Federal Register must be followed.

In order to be considered for funding, ALL applicants are to ANNUALLY submit the applicable application information requested.

These instructions provide supplemental guidance and examples that may be used in completing the program specific forms contained in the application. The terms “local education agencies” and “LEAs” include all eligible applicants for the Indian Education Formula Grant Program.

Applications that have been approved for a multi-year period and whose project period will not end prior to the beginning of next school year are “Continuation Applications.” **Please carefully review the instructions for form completion as specific forms are required for “New Applications” vs. “Continuation Applications.”**

Continuation applications generally address only –

- a new Indian student count (which is the basis for generating next school year’s funds),
- parent committee approval (if applicable),
- updates of the assessments of all Indian students,
- the progress being made in meeting the objectives of the project,
- any changes in the project’s objectives that are proposed (**note: only information that has CHANGED since last submittal need be provided**), and
- an updated budget.

Public Hearing

Prior to submitting an application, **all** applicants must conduct at least one public hearing. During the public hearing(s), the applicant must provide parents of Indian students, and the community, an opportunity to understand and offer recommendations on the Title VII program.

The LEA may use the public hearing as an opportunity to present the results of the periodic assessment of all Indian students.

Eligible Applicants

Eligible applicants for the Title VII Indian Education Formula Grant program include these entities according to the following criteria:

1. Local education agency (LEA) means --
 - (a) A public board of education or other public authority legally constituted within a State for either administrative control or direction of, or to perform service functions for, public elementary or secondary schools in:

- (1) A city, county, township, school district, or other political subdivision of a State; or
- (2) Such combination of school districts or counties a State recognizes as an administrative agency for its public elementary or secondary schools; or

(b) Any other public institution or agency that has administrative control and direction of a public elementary or secondary school.

(Authority: 34 CFR Part 77)

2. Schools funded by the Bureau of Indian Affairs (BIA) include --
 - (a) Elementary and secondary schools, operated by the BIA, that offer direct student instruction; and
 - (b) Elementary and secondary schools, operated through a contract or grant from the BIA that offer direct student instruction.
3. Tribes, if --
 - (a) The LEA that is eligible for the grant does not establish a parent committee according to the program's legislative requirements; and
 - (b) The Indian Tribe represents no less than one-half of the eligible Indian children who are served by the LEA.

Eligibility of Indian Tribes

- **To document that an Indian tribe meets the basic criteria for eligibility, the applicant tribe must submit, each year with its application, a signed letter from each affected LEA certifying that:**
- ***The LEA has not established a parent committee according to Section 7114(c)(4);***
- ***The applicant Indian tribe represents no less than one-half of the eligible Indian children served by the LEA; and***
- ***All of the Indian students identified by the tribe were enrolled in the LEAs' schools during the count period indicated by the tribe.***

Note: Eligible Indian Children means those children for whom the tribe has a completed Indian Student Eligibility (ED 506) form on file at the time of the count. Of the total number of eligible Indian children counted, the tribe must represent no less than one-half.

It will be the responsibility of the applicant tribe to collect and maintain the Indian student eligibility certification forms on each Indian child. These forms are used to document the total number of Indian students counted to generate funds for the formula grant award.

Applicant tribes must submit to the LEA for verification:

- A list of all students, by tribe, being counted for the purposes of this grant; and
- The count period used in the application.

Consortium Applications

- Local educational agencies may form a consortium for the purpose of obtaining grants under the formula grant program. Consortium applications include more than one distinct organizational entity (e.g., districts, counties, public schools and tribally controlled schools, bureau-operated schools, etc.) in which one of the entities is identified as the "applicant" on behalf of the other entities.
- All entities in the consortium must be eligible to participate in the formula grant program. The regulations in 34 CFR 75.127-129, governing "Group Applications," must be followed in submitting a consortium application.
- Schools directly operated by the Bureau of Indian Affairs (BIA) can only participate in a consortium application with other schools directly operated by the BIA. This restriction is due to the difference in the payment method used for transferring funds to the BIA for schools it operates.
- **Consortium applications are to contain, within the application submitted, a breakdown of the number of Indian students being counted by each entity. Each member of the consortium must meet the basic eligibility requirements for the program.**

Student Enrollment Requirements

In order to participate in the Title VII Indian Education Formula Grant program, eligible applicants must have a minimum of 10 Indian students enrolled in the LEA or not less than 25 percent of the total number enrollment.

Exceptions -- The enrollment requirement does not apply in Alaska, California or Oklahoma or to LEAs located on, or in proximity to, a reservation.

Indian Student Count

Indian student eligibility for the program is determined by a certification (ED 506) form that is signed and dated by the parent. The actual numbers of Indian students for whom the LEA has collected an Indian Student Certification (ED 506) Form is reported to generate a project's funding.

ED 506 forms counted to generate funding must –

- Contain the minimum information as explained in the application package;
- Be signed by the parent and dated not later than the last day of the count period specified in the application; and
- Be for Indian children actually enrolled in the LEA's schools receiving a free, public education during the count period specified in the application.

NOTE:

Elementary and secondary schools funded or operated by the Bureau of Indian Affairs (BIA) are may choose to document their Indian student count by maintaining ED 506 forms on their Indian students or using the Indian School Equalization Program (ISEP) count. A combination of the two methods is not permitted. BIA-funded schools must designate on the Student Count Total Form which method is used to count their Indian students.

All other applicants, including Tribes that apply in lieu of an LEA that has not established a parent committee, are required to collect and maintain ED 506 forms on all Indian students counted to generate Title VII Indian Education Formula Grant program funds.

Documentation Needed To Verify Indian Student Count

A. Local Educational Agencies (LEAs)

Documentation of count for LEAs:

- A Student Eligibility Certification (ED 506) form (included in this application package) must be collected for each Indian child included in the count.

Note: This requirement applies to Bureau-funded schools if using the ED 506 form as the basis of the Indian student count.

Verification of count for LEAs:

- All Student Eligibility Certification (ED 506) forms used in the count period; and
- A copy of the LEAs student enrollment roster(s), covering the same period of time indicated in the application as the "count period." Each Indian child included in the count for which an Indian Student Eligibility Certification (ED 506) form is on-file must be listed on the LEAs enrollment roster(s).
- All documentation should be maintained in a manner that allows the LEA to be able to discern, for any given year, which students were enrolled in the LEAs school(s) and counted during the count period indicated in the application.

B. Schools Funded by the Bureau of Indian Affairs (BIA)

Student eligibility certification (ED 506) forms are not required for schools funded by the Bureau of Indian Affairs (BIA) if the applicant school uses the Indian School Equalization Program (ISEP) count for that year. However, a Student Eligibility Certification (ED 506) form (included in this application package) must be collected for each Indian child included in the count if the Bureau-funded school uses the ED 506 form as the basis of the Indian student count.

C. Indian Tribes Applying In Lieu of an LEA

A student eligibility certification (ED 506) form must be collected for each Indian child included in the count.

Eligible tribes may count all Indian students enrolled in the LEA for whom the tribe obtains an Indian student eligibility certification form and all students included in the count may be included in the project's activities and services. However, for eligibility purposes, of the total number of Indian students counted, not less than half must be from the applicant Indian tribe.

Verification of Count for Indian Tribes Applying in Lieu of an LEA:

- To verify the Indian student count, the following documentation is needed by an Indian Tribe that applies for funding instead of the LEA:
- All Indian Student Certification (ED 506) forms used in the count period;
- The letter and accompanying documents submitted to the LEA for verification, which includes a list of all students, by tribe, being counted for the purposes of this grant and the count period being used by the tribe; and
- The LEA's certification that the Indian children counted by the applicant tribe were enrolled in the LEA's schools during the count period indicated by the tribe.

Parent Committee Approval

With the exceptions identified below, all applicants must establish a parent committee that meets the requirements of the program's legislation. The LEA is to develop the program with the participation and written approval of the parent committee. In order to ensure that the parent committee is aware of their required level of involvement, a form has been provided that informs them of this requirement. By signing the Parent Committee Approval form, members indicate their participation and approval of the application being submitted.

Parent Committee Exceptions --

These applicants are not required to establish a parent committee –

- Elementary and secondary schools funded or operated by the Bureau of Indian Affairs, and
- Tribes that apply when the eligible LEA does not form a parent committee.

Use of Project Funds

Administrative Costs

Section 7115(d) of the program's legislation limits a grantee from using more than 5% of its

project funds for administrative purposes. However, the legislation does not define what costs should be included or identified as “administrative.” If an applicant determines that this legislative requirement would impede proper implementation of the program, a waiver of this requirement may be requested under the authority of Section 9401, Waivers of Statutory and Regulatory Requirements.

If a waiver is requested, the applicant must provide information that meets the requirements specified in Section 9401. The application package includes a form (in Part 2 of Section D) that applicants may complete if requesting a waiver; this form is to be submitted with the application. Unless a waiver is requested, administrative costs may not exceed 5% of the budget total.

Integration of Services under Section 7116

Under Section 7116 of the Elementary and Secondary Education Act, projects may consolidate federal funds and program services into a single, coordinated, comprehensive project and reduce administrative costs by consolidating administrative functions.

The only funds that may be integrated are:

- From a Federal program that exclusively serves Indian children, or
- Funds reserved under any Federal program to exclusively serve Indian children, received through a statutory or administrative formula for the purposes of providing education and related services to serve Indian students.

Specific forms are included in the application package for projects that choose to administer an Integrated Services Project.

Unallowable Costs

The cost of the following items will not be allowed within project budgets:

- Student banquets, dinners, ceremonies or other similar social occasions;
- Student incentives, stipends, scholarships or personal articles/items;
- Parental costs or stipends;
- Basic transportation costs of students for the school/LEA;
- Vehicle purchases; or
- Other costs which are not specifically related to an approved project objective or identified as an administrative cost.

Examples for Determining Mid-Year and Substantial Progress

The objectives in your initial application require you to identify the level of progress students are to achieve by mid-year and end-of-year. Mid-year progress of student achievement is to be reviewed to determine if project services are having a positive impact on student learning. Services that are not increasing student performance are to be re-evaluated to determine if changes are needed in project services (e.g., duration, frequency, or timing) prior to the end of the project. Services that do not demonstrate an improvement in student achievement should not be continued.

In maintaining data on student progress, data on individual students must be tracked. However, only aggregate (total) data is reported in the application.

For example:

Project services are targeted for 20 fourth-grade students identified as partially proficient in math. End of year progress is anticipated to be an increase of at least one-letter grade in math for each student.

Progress data reports that by mid-year (end of 1st semester), 16 of the 20 students receiving services increase math grades by one-half letter grade; the remaining 4 students increase by 75% of a letter grade.

The following examples may be used as a guide to identify anticipated progress students may reach based on end-of-year achievements commonly identified by applicants.

Student Grades

Substantial progress anticipated: One (1) letter grade by end of school year:

**9 months or end of school year – one letter grade
(Example: Grade C to Grade B or better)**

**6 months or end of 3rd grading period – 75% of a letter grade
(Example: C to B- or better)**

**4.5 months, end of 2nd grading period or end of first semester (mid-year) – 50% of a letter grade
(Example: C to C+ or better)**

Note: Student Grades should only be used to determine progress through the school year and cannot be relied upon to determine student progress towards meeting State standards.

Test Results using Percentiles

Example: Proficiency Levels by Percentiles –

Advanced Proficiency – 75th percentile and above

Proficient – 50th percentile to 74th percentile

Partially Proficient – 49th percentile and below

For students scoring in the Partially Proficient range at the 25th percentile, the goal may be to achieve the Proficient range within a five year period.

Year 1 – students scoring at the 25th percentile increase by 5 percentiles by end of year (reaching the 30th percentile)

Year 2 – students scoring at the 30th percentile increase by 5 percentiles by end of year (reaching the 35th percentile)

Year 3 – students scoring at the 35th percentile increase by 5 percentiles by end of year (reaching the 40th percentile)

Year 4 – students scoring at the 40th percentile increase by 5 percentiles by end of year (reaching the 45th percentile)

Year 5 – students scoring at the 45th percentile increase by 5 percentiles by end of year (reaching the 50th percentile (proficiency level))

Note: Using standardized tests to evaluate student progress will only be possible in LEAs that test annually at sequential grade levels (for example, grades 3, 4, 5, 6, etc.). Other measures to evaluate student progress will be needed when sequential grade levels are not tested. For example, alternative measures would be required for grades 4, 5, 6, and 7 if only grades 3 and 8 are currently tested).

If practice tests are utilized, you may be able to project progress by the end of school year. For example, a goal of student increases of 5 percentiles is anticipated:

9 months or end of school year – 5 percentiles
(Example: 35th percentile to 40th percentile)

Practice tests taken at 6 months or end of 3rd grading period – 3.75 percentiles
(Example: 35th percentile to 38-39th percentile)

Practice tests taken at 4.5 months, end of 2nd grading period or end of first semester (mid-year) – 2.5 percentiles
(Example: 35th percentile to 37-38th percentile)

Note: These assessments will not provide data on annual progress of student achievement in all cases. Please note that if testing is not conducted at each grade level, other measures are needed to determine student progress in meeting the project's objectives.

Test Results using Scale Scores

Example of Proficiency Levels by Scale Scores –

Advance Proficiency – scale scores of 250 and above

Proficient – scale scores of 200 to 249

Partially Proficient – scale scores of 199 or less

For scores in the Partially Proficient range, with a scale score of 125, students may be expected to progress to the Proficient range within a five year period.

Year 1 – Scale score of 125 to increase by 10% by end of year to 138

Year 2 – Scale score of 138 to increase by 10% by end of year to 152

Year 3 – Scale score of 152 to increase by 10% by end of year to 167

Year 4 – Scale score of 167 to increase by 10% by end of year to 184

Year 5 – Scale score of 184 to increase by 10 % by end of year to 202 (Proficient Level)

Substantial progress anticipated: 10% improvement of score by end of school year:

9 months or end of school year – 10% increase in scale score of student

(Example: Scale score of 125 to increase by 10% to scale score of 138)

Practice tests taken at 6 months or end of 3rd grading period – 7.5% increase in scale score of student

(Example: Scale score of 125 to increase by 7.5% to scale score of 134)

Practice tests taken at 4.5 months, end of 2nd grading period or end of first semester (mid-year) – 5% increase in scale score of student

(Example: Scale score of 125 to increase by 5% to scale score of 131)

Note on Assessment Tools: Using normed tests to evaluate end of year student progress will only be possible if LEAs test annually at sequential grade levels (for example, grades 3, 4, 5, 6, etc.) in the same core subject areas. Additional measures will be needed to evaluate student progress sequential grade levels are not tested (for example, alternative measures would be required for grade 4, 5, 6, and 7 if only grades 3 and 8 are currently tested).

PART 2 – INSTRUCTIONS FOR STANDARD FORMS

Note: Specific instructions for completion are provided on the back of each form; only those items that are frequently completed in an incorrect manner or general guidance that may be needed are addressed here.

Cover Page (ED Form 424)

- **Item #1 Legal Name is the name of the entity applying for the grant. Names of individuals are listed only in item #4 (Project Director) and #14 (Authorized Representative).**
- Item #2 -- ALL applicants (except BIA-operated schools) must have a DUNS number. Instructions for obtaining a DUNS number are included under Section C.
- Item #4 -- Be sure to include an e-mail address if one is available.
- Item #10, Proposed Project Dates -- Include dates, from the beginning year to ending year, that are being requested through this application.

Budget Information (ED FORM 524)

- Budget Information (ED Form 524) --identify the name of the applicant entity in the space provided on the pages for Section A and Section B.
- Section A --The totals for each budget category (per year) should correspond with the totals on the Budget Detail Summary forms.
- Section B --Identify any non-Federal Funds that will be used to support the program according to the budget categories and years of the program. If this form is not applicable, the applicant should indicate “N/A” on the form.

Note: All budget information identified is based on estimates. The applicant will have an opportunity to revise the budget after the award is made based on actual fund availability.

Budget Detail Summary Forms

- The Budget Detail Summary forms are required for all applicants.
- The Budget Detail Summary forms are comprised of two parts—
 - Personnel funded by the project
 - Budget Categories
- If an Integrated Services Project is requested, complete only those detail budget summary forms that are indicated for an Integrated Services Project.

NOTE: The legislation imposes a 5% cap on administrative costs for this program. Unless a waiver of this requirement is requested and approved, administrative costs beyond the 5% cap cannot be approved.

Certification, Assurance and Survey Forms

(REQUIRED ONLY FOR NEW APPLICATIONS)

ALL assurance and certification forms included in the application package must be signed and dated by the individual authorized by the LEA and included in the application submitted. If the individual signing the forms is not one of the top agency officials, a letter of authorization may be requested authorizing the individual to sign on behalf of the LEA.

Section 427 OF GEPA

(REQUIRED ONLY FOR NEW APPLICATIONS)

Address the requirements of Section 427 of the Department of Education's General Education Provisions Act (GEPA) as explained in the instructions.

PART 2 -- STANDARD APPLICATION FORMS

The following forms are required. **The hard copies of these forms are included in the printed application package starting at page 51:**

- ED Form 424 – Application for Federal Education Assistance (Form and Instructions)
- ED Form 524 – Budget Information, Non-Construction Programs
- ED Form 524 – Instructions
- Standard Form 424B – Assurances, Non-Construction Programs **(required only for new applications)**
- GEPA 427 **(required only for new applications)**
- ED 80-0013 – Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters; and Drug-Free Workplace Requirements **(required only for new applications)**
- ED 80-0014 – Certification Regarding Debarment, Suspensions, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions **(required only for new applications)**
- Standard Form LLL – Disclosure of Lobbying Activities **(required only for new applications)**
- Survey on Ensuring Equal Opportunity for Applicants **(required only for new applications)**

You can access an electronic version of these forms for downloading at:

<http://www.ed.gov/offices/OCFO/grants/appforms.html>

These program forms are also required:

- Indian Student Count Total
- Parent Committee Approval
- LEA Grant Program Assurances Form
- Budget Detail Summary Forms (2 types)
 - (1) Programs that are a regular Title VII project; **or**
 - (2) Programs that are submitted as an Integration of Services Project

Submit applications by the closing date to:

**U.S. Department of Education
Office of Indian Education
Attention: CFDA #84.060
400 Maryland Ave., SW, Room 5C152
Washington, DC 20202-6335**

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Additional Program Assurances for 84.060 - Indian Education Formula Grants
Required for ALL NEW Applications

1. If the applicant is an LEA, it assures that funds received under this program will be used only to supplement the level of funds that, in the absence of the Federal funds made available under this program, the LEA would make available for the education of Indian children, and not to supplant such funds. (Section 7114(c)(1) of Part A, Title VII)
2. It assures that it will submit a performance report, or, for the last year of a project, a final report, that evaluates at least annually: (a) the grantee's progress in achieving the objectives in its approved application; (b) the effectiveness of the project in meeting the purposes of the program; and (c) the effect of the project on participants being served by the project. (34 CFR 75.590)
3. It assures that it will cooperate in any evaluation of the program by the Secretary. (34 CFR 75.591)
4. It assures that the program for which funds are sought is based on a comprehensive local assessment and prioritization of the unique educational and culturally related academic needs of the American Indian and Alaska Native students for whom the LEA is providing an education. (Section 7114(c)(3) of Part A, Title VII)
5. It assures that it will use the best available talents and resources, including persons from the Indian community. (Section 7114(c)(3) of Part A, Title VII)
6. It assures that it has developed the project for which application is made (a) in open consultation with parents of Indian children and teachers and, if appropriate, Indian students from secondary schools, including through public hearings held to provide a full opportunity to understand the program and to offer recommendations regarding the program; and (b) with the participation of a parent committee selected in accordance with section 7114(c) of the statute; and (c) with the written approval of that parent committee. (Section 7114(c)(3)(4) of Part A, Title VII)
7. It assures, if it is a local educational agency, which the parent committee will adopt and abide by reasonable by-laws for the conduct of the activities of the committee. (Section 7114(c)(4) of Part A, Title VII)
8. It assures that the policies and procedures, and sets forth such policies and procedures, including policies and procedures relating to the hiring of personnel, will ensure that the program will be operated and evaluated in consultation with, and with the involvement of, parents of the children, and representatives of the area, to be served. (Section 7114(c)(4) of Part A, Title VII)
9. It assures that an application for inclusion of these program funds in a Title I school-wide project, has been approved, in writing, by the parent committee and that the parent committee was allowed to review the application in a timely fashion, has determined that the program will not diminish the availability of culturally related activities for American Indians and Alaska Native students, and has determined that the Title I school-wide project meets the purpose of the Indian education formula grant program. (Sections 7114(c)(4)(D) and 7115(c) of Part A, Title VII)
10. It assures that it will directly administer or supervise the administration of the project. (34 CFR 75.701)

11. It assures that it will keep records related to grant funds, program compliance and program performance and will afford the Secretary access to these records as the Secretary may find necessary to assure the correctness and verification of reports made by the applicant. (34 CFR sections 75.730-.732)
12. It assures that it has fiscal control and fund accounting procedures that insure proper disbursement of and accounting for Federal funds. (34 CFR 75.702)
13. Pursuant to Section 9306(a) of the Elementary and Secondary Education Act, it assures that:
 - The program will be administered in accordance with all applicable statutes, regulations, program plans, and application;
 - The control of funds provided under the program and title to property acquired with program funds will be in a public agency or in a nonprofit private agency, institution, organization, or Indian tribe, if the law authorizing the program provides for assistance to those entities and who will administer these funds and property to the extent required by the authorizing statute;
 - The applicant will adopt and use proper methods of administering the program, including -- the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for carrying out the program; and the correction of deficiencies in program operations that are identified through audits, monitoring, or evaluation;
 - The applicant will cooperate in carrying out any evaluation of the program conducted by or for the State educational agency (SEA), the Secretary or other Federal officials;
 - The applicant will use fiscal control and funds accounting procedures that will ensure proper disbursement of, and accounting for, Federal funds paid to the applicant under this program;
 - The applicant will make reports, maintain records, provide information, and afford access to the State educational agency and the Secretary as may be necessary to enable the SEA and the Secretary to carry out and perform their duties under this program; and
 - Before the application is submitted, the applicant affords a reasonable opportunity for public comment on the application and has considered those comments.

Title of Authorized Official	Organization
Signature of Authorized Official	Date

DEPARTMENT OF EDUCATION
OFFICE OF INDIAN EDUCATION

INDIAN STUDENT COUNT - LEA TOTAL

LEA Name:	LEA Mailing Address:		
<p>Instructions: This form is used to establish the total Indian student count of the LEA for the purpose of generating funds under the Indian education formula grant program. The information from the individual ED 506 forms on file during the count period for Indian students enrolled in the LEA during the designated count period is to be compiled and reported on this form.</p> <p>In Section A or B below, breakdown the <u>number</u> of eligible Indian children according to their eligibility status as indicated on the ED 506 form. <u>Count each child only once according to the categories shown.</u> <u>Complete only Section A or Section B, as appropriate.</u></p>			
<p>A. Public Schools or BIA Funded Schools, complete this section with <u>numbers</u> of Indian students by category: (total of all students counted in Section A must match total shown in Section C)</p> <table style="width: 100%; margin-top: 10px;"> <tr> <td style="width: 50%; vertical-align: top;"> <p>_____ Federally recognized, including Alaska Natives</p> <p>_____ State Recognized</p> </td> <td style="width: 50%; vertical-align: top;"> <p>_____ Terminated Tribes</p> <p>_____ Organized Indian Group meeting the definition of "Indian"</p> </td> </tr> </table> <p style="text-align: center; margin-top: 10px;">For BIA Funded Schools, Indian student count method is based on: (check <u>only</u> one)</p> <p style="text-align: center;">_____ 506 Forms _____ ISEP</p>		<p>_____ Federally recognized, including Alaska Natives</p> <p>_____ State Recognized</p>	<p>_____ Terminated Tribes</p> <p>_____ Organized Indian Group meeting the definition of "Indian"</p>
<p>_____ Federally recognized, including Alaska Natives</p> <p>_____ State Recognized</p>	<p>_____ Terminated Tribes</p> <p>_____ Organized Indian Group meeting the definition of "Indian"</p>		
<p>B. Tribes that apply instead of an LEA, complete this section with <u>numbers</u> of Indian students by category: (total of all students counted in Section B must match total shown in Section C)</p> <table style="width: 100%; margin-top: 10px;"> <tr> <td style="width: 50%; vertical-align: top;"> <p><u>Number of Students of Applicant Tribe:</u></p> <p>_____ Federally recognized, including Alaska Native</p> <p>_____ State Recognized</p> <p>_____ Terminated Tribe</p> <p>_____ Organized Indian Group meeting definition of "Indian"</p> </td> <td style="width: 50%; vertical-align: top;"> <p><u>Number of Students of Other Tribes:</u></p> <p>_____ Federally recognized, including Alaska Native</p> <p>_____ State Recognized</p> <p>_____ Terminated Tribe</p> <p>_____ Organized Indian Group meeting definition of "Indian"</p> </td> </tr> </table>		<p><u>Number of Students of Applicant Tribe:</u></p> <p>_____ Federally recognized, including Alaska Native</p> <p>_____ State Recognized</p> <p>_____ Terminated Tribe</p> <p>_____ Organized Indian Group meeting definition of "Indian"</p>	<p><u>Number of Students of Other Tribes:</u></p> <p>_____ Federally recognized, including Alaska Native</p> <p>_____ State Recognized</p> <p>_____ Terminated Tribe</p> <p>_____ Organized Indian Group meeting definition of "Indian"</p>
<p><u>Number of Students of Applicant Tribe:</u></p> <p>_____ Federally recognized, including Alaska Native</p> <p>_____ State Recognized</p> <p>_____ Terminated Tribe</p> <p>_____ Organized Indian Group meeting definition of "Indian"</p>	<p><u>Number of Students of Other Tribes:</u></p> <p>_____ Federally recognized, including Alaska Native</p> <p>_____ State Recognized</p> <p>_____ Terminated Tribe</p> <p>_____ Organized Indian Group meeting definition of "Indian"</p>		
SECTION C. TO BE COMPLETED BY ALL APPLICANTS			
Total number (section A or B) of all eligible Indian children enrolled in the LEA's schools during the count period:	Count Period (Period may be up to 31 consecutive days)	Total number of <u>all</u> students enrolled in the LEA's schools (Indian and non-Indian):	
<p><i>The LEA certifies that the above count represents the number of eligible Indian students enrolled in its schools and for whom a Student Eligibility Certification (ED 506) form <u>was on file</u> during the count period indicated. It is understood that this count will be used to calculate an award of Federal Assistance and that it is subject to audit.</i></p>			
Signature of Authorized LEA Representative	Telephone number with Area Code:	Date signed:	

Form Completion Instructions:

INDIAN STUDENT COUNT - LEA TOTAL

1. Insert the LEA's name and mailing address in the appropriate space. Please fully complete this item, as school district names are often similar.
2. **Complete Section A or B, as appropriate --**
 - Section A is to be filled out by public schools and those schools funded by the Bureau of Indian Affairs (BIA).

Note: BIA funded schools must identify the count method being used – either 506 forms or ISEP.

- Section B is to be filled out by Indian Tribes that apply instead of the LEA, breaking out the numbers of Indian students being counted according to categories listed for the Applicant Tribe and those Indian students from Other Tribes.
3. Section C is to be completed by all applicants and contain the following information:
 - The total number in Sections A or B of all eligible Indian children that were enrolled in the LEA's school(s) during the count period;
 - The period (inclusive of all dates) during which the Indian student count was taken. (This may be up to 31 consecutive (i.e., calendar) days. Example: Oct. 1-31, 2005).
 - The total number of students enrolled in the LEA's school(s) – include all students, both Indians and non-Indian students.
 - An authorized representative of the LEA must sign the form. If someone other than the person signing the application cover page signs the form, a letter of authorization is to be provided with the application.
 - Provide the telephone number with area code of the person signing the form.
 - Identify the date the form is signed.

PARENT COMMITTEE APPROVAL OF A TITLE VII FORMULA GRANT PROGRAM

(Note: Not applicable to elementary and secondary schools funded by the Bureau of Indian Affairs or tribes that apply in lieu of an LEA)

LEA Name: _____

Address: _____

City/State: _____
Zip

This certifies that the Parent Committee for the above LEA has participated in the development of the application herein submitted and approves the proposed project.

(Note: The LEA and Parent Committee (PC) are to locally determine the number of PC members that are required for PC approval of the application.)

Signature, Title Date

Signature, Title Date

Signature, Title Date

Signature, Title Date

Signature, Title Date

Signature, Title Date

Check the type of application submitted. Check only one box:

- ☐ The application submitted is for a regular formula grant project.
- ☐ The application submitted will include project funds in a Title I school-wide program. The Parent Committee also certifies it had an opportunity to review the program in a timely fashion and determines that the school wide program is consistent with the purpose of the formula grant program and does not diminish the availability of culturally relevant activities.
- ☐ The application submitted will consolidate Federal programs funded for the purpose of providing education and related services to Indian students. The Federal funds identified within the budget to be consolidated are those that are allocated under a statutory or administrative formula for the purposes of providing education and related services that would be used to Indian students.

Form Completion Instructions:

Parent Committee Approval

1. Obtain the dated signature of the Parent Committee members, including name and title, authorized to sign the approval form.

(Note: The LEA and Parent Committee are to locally determine the number of members and/or those members authorized to sign the form.)

2. Identify the type of approval given for this application as one of the following:
 - Approval for a regular program that will be operated by the LEA;
 - Approval for a program that will consolidate the project funds into a Title I schoolwide program;
 - Approval for a program to Integrate Services and federal funds.

Check only one box as appropriate for your application.

BUDGET DETAIL SUMMARY
PERSONNEL FUNDED BY PROJECT

Required for ALL applications applying for a “regular” formula grant project.

Note: Unless a waiver is requested, administrative costs may not exceed 5% of the total budget. Costs for personnel are to be broken out by “administrative costs” vs. “programmatic costs” which represent direct services.

Instructions: Complete all columns for each type of personnel to be funded by the program.

(1)	(2)	(3)	(4)	(5)	(6)
PERSONNEL FUNDED BY TITLE VII	#	% OF TIME	ADMIN. COST	PROGRAM COST	FRINGE COST
Title(s):					
TOTAL					

Form Completion Instructions:

(Instruction number corresponds with the column number on the form)

PERSONNEL FUNDED BY PROJECT

1. Identify the personnel to be funded by the project according to the position titles you plan to employ.
2. For each position title listed, identify the number of personnel to be employed with project funds.
3. Identify the percent of time those persons will be employed with project funds.
4. Identify the amount of funds needed to pay any portion of a salary of a person performing administrative functions for the project.
5. Identify the amount of funds needed to pay any portion of a salary of a person performing programmatic functions for the project.
6. Identify the fringe cost for the positions identified.

BUDGET DETAIL SUMMARY

BUDGET CATEGORIES FUNDED BY PROJECT

BUDGET CATEGORIES: For each major budget category, identify the item(s) to be purchased with Title VII funds (broken out between administrative costs vs. programmatic costs), the costs basis for the item(s), the project objective(s) that is supported by the proposed cost, and the amount per item.

Note: Unless a waiver is requested, administrative costs may not exceed 5% of the budget total.

(1)	(2)	(3)	(4)	(5)	(6)
ITEMS	COST BASIS*	OBJECTIVE THAT COST IS RELATED TO	AMOUNT ADMIN. COST	AMOUNT PROG. COST	TOTAL AMOUNT
TRAVEL:					
EQUIPMENT:					
SUPPLIES:					
CONTRACTUAL:					
OTHER:					
INDIRECT COSTS (Identify rate): _____%					
Training Stipends (Indirect not charged on stipends)					
TOTAL					

*Provide basis of cost if not explained elsewhere in the application.

Form Completion Instructions:

(Instruction number corresponds with the column number on the form)

BUDGET CATEGORIES

1. Identify the items to be paid by the project according to the appropriate budget categories listed.
2. For each item listed identify the basis for estimating the cost.
3. Identify the performance objective that is directly related to the proposed cost.
4. Identify the total amount for the line items in each budget category if it will be used for administrative purposes.
5. Identify the total amount for the line items in each budget category if it will be used for program purposes.
6. Total all items in each budget category and complete the remainder of the page.

INTEGRATION OF SERVICES UNDER SECTION 7116 BUDGET DETAIL SUMMARY

This budget form is applicable only to projects that submit a plan for the integration of education and related services provided to Indian students under Section 7116 of the Elementary and Secondary Education Act, as amended. Funds from any Federal program exclusively serving Indian children, or the funds reserved under any Federal program to exclusively serve Indian children, received through a statutory or administrative formula for the purposes of providing education and related services to serve Indian students, may be consolidated in a demonstration project that integrates the program services involved into a single, coordinated, comprehensive program and reduces administrative costs by consolidating administrative functions.

Note: Unless a waiver is requested, administrative costs may not exceed 5% of the budget total.

List the Programs to be consolidated: (add a separate sheet if needed)

PROGRAM	FUNDING AGENCY	ESTIMATED AMOUNT

Identify the State, tribal, or local agency or agencies to be involved in the delivery of the services integrated under the plan:

Service(s) to Be Provided	Entity Providing Service	Timeframe for Service Delivery

Instructions: Complete all columns for each type of personnel to be funded by the program:

(1) PERSONNEL FUNDED THROUGH INTEGRATION OF SERVICES	(2) #	(3) % OF TIME	(4) ADMIN. COST	(5) PROG. COST	(6) FRINGE COST
Project Director					
Project Coordinator					
Certified Staff: (specify by type or title)					
Non-certified Staff: (specify by type or title)					
TOTAL					

Form Completion Instructions:

(Instruction number corresponds with the column number on the form)

INTEGRATION OF SERVICES - PERSONNEL

1. Identify the personnel, by type of position, to be paid from the Integrated Services Project.
2. Identify the number personnel to be funded by the program with each of the categories.
3. Identify the percentage of time for each position listed.
4. List the amount (or portion) of a salary for any position that will perform administrative functions.
5. List the amount (or portion) of a salary for any position that will perform programmatic functions.
6. Calculate the cost of fringe benefits for the individuals employed.

Please provide a Total of all costs for the appropriate columns.

**INTEGRATED SERVICES
BUDGET CATEGORIES FUNDED**

BUDGET CATEGORIES: For each major budget category, identify the item(s) to be purchased with integrated funds (broken out between administrative costs vs. programmatic costs), the cost basis for the item(s), the project objective(s) supported by the proposed cost, and the amount per item.

Note: Unless a waiver is requested, administrative costs may not exceed 5% of the budget total.

(1)	(2)	(3)	(4)	(5)	(6)
ITEMS	COST BASIS*	OBJECTIVE THAT COST IS RELATED TO	AMOUNT ADMIN. COST	AMOUNT PROG. COST	TOTAL AMOUNT
TRAVEL:					
EQUIPMENT:					
SUPPLIES:					
CONTRACTUAL:					
OTHER:					
INDIRECT COSTS (Identify rate): _____%					
Training Stipends (Indirect not charged on stipends)					
TOTAL					

*Provide basis of cost if not explained elsewhere in the application.

Form Completion Instructions:

(Instruction number corresponds with the column number on the form)

INTEGRATION OF SERVICES - BUDGET CATEGORIES

1. Identify the items to be paid by the project according to the appropriate budget categories listed.
2. For each item listed identify the basis for estimating the cost.
3. Identify the performance objective that is directly related to the proposed cost.
4. Identify the total amount for the line items in each budget category if it will be used for administrative purposes.
5. Identify the total amount for the line items in each budget category if it will be used for program purposes.
6. Total all items in each budget category and complete the remainder of the page.

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PART 3 –INSTRUCTIONS AND FORMS FOR NEW APPLICATIONS

PART 3 – INSTRUCTIONS FOR NEW APPLICATIONS

Student Needs Addressed by LEA Programs:

The Indian education formula grant program legislation requires that the program be based on a local assessment and prioritization of the unique educational *and* culturally related academic needs of the American Indian and Alaska Native students for whom the LEA provides an education. Each application shall include a comprehensive program for meeting the needs of Indian children served by the LEA, including the language and cultural needs of the children.

In order to determine that a comprehensive program is provided and all student needs are addressed (i.e., academics, language and culture) a comprehensive needs assessment must be conducted. The application forms have been designed to capture available assessment data in each of these areas.

Specific instructions for completion are located on the back of the form.

Student Data and Objectives:

The purpose of the form is to identify the performance levels of Indian students and the objectives to be targeted by the project.

Note: Data on student performance in the areas of Reading (or Language Arts) and Math are required. Additional student performance data are to be provided in the content areas of Writing or Language Arts, Science, Social Studies or History if the applicant plans to address the area(s) in an objective. Report only the data for the content areas and grades that are required to be assessed according to State or local standards.

Projects having cultural data on student performance may report data on the Academic Objective forms.

Student Data

The levels of student academic performance to be reported are divided into three areas --

- A = Advanced
- P = Proficient
- N = Partially Proficient

These levels of student performance relate to the requirements of Title I that require States to have challenging content standards in academic subjects, challenging student performance standards that are aligned with the State's content standards, and have student performance standards that are based on three levels of performance. The highest two levels of performance are to be described as proficient and advanced, to determine how well children are mastering the material in the State content standards. The third level of performance is described as "partially proficient" and is to provide information about the progress of lower performing children toward achieving the proficient and advanced levels of performance. At a minimum, each State participating in the Title I program must:

- annually determine student progress in a manner similar to the three levels described;
- assess student proficiencies in academic subjects (mathematics and reading or language arts, at a minimum);
- involve multiple up-to-date measures of student performance, including measures that assess higher order thinking skills and understanding; and
- have assessments that enable the results to be disaggregated within each State, LEA, and school by --
 - gender,
 - each major racial and ethnic group*,
 - English proficiency status,
 - migrant status,
 - students with disabilities as compared to nondisabled students, and
 - economically disadvantaged students as compared to students who are not economically disadvantaged.

*Note: Although all states must disaggregate their assessment data, disaggregated data for the American Indian and Alaska Native student population may not yet be available in all states. As an alternative, the LEA may want to look at the Indian student population to see if there are any links or correlations between this student population and those that fall into the “economically disadvantaged” data being disaggregated. (Note: This would also include students that are eligible for the Free and Reduced Price Lunch Program.) If that correlation exists (i.e., the majority of the AI/AN student population are also those that have been identified as “economically disadvantaged”), then the LEA may use that data if the Indian population is not specifically disaggregated as a discreet student population on test data.

Although student performance levels are to be based on the three levels described, individual States may use similar but different terminology. LEAs should determine which of their performance level descriptions most closely correspond with the descriptions given for Advanced (A), Proficient (P) and Partially Proficient (N) on the Academic Student Needs Summary Form and provide data accordingly.

LEAs are to review the types of academic performance data available within the LEA for core subject content areas and grade levels.

Each State must have an assessment system that meets the requirements of Title I and that measure student progress in meeting content and performance standards at specific grade levels. In addition to the State developed assessment procedures, some States and LEAs also use standardized achievement tests, criterion-reference tests, and multiple assessment tools to determine student progress. Any of these assessment tools may yield results in a format different than Advanced, Proficient or Partially Proficient. In those instances, the LEA may determine which scores would fall into the three performance levels.

For example, using norm referenced tests, students scoring less than the 50th percentile could be considered as Partially Proficient, those scoring in the 50th to 75th percentiles could be

considered Proficient, and those scoring above the 75th percentile could be considered Advanced. If your LEA or State uses other percentile ranges to determine the three levels of performance, you should use those that are locally specified.

Using the descriptions for State or local assessments or other available assessment data, determine how many of the LEA's Indian students are performing at the Advanced, Proficient and Partially Proficient levels in the core subject areas and grade levels.

Note: All applicants are to report data in the content areas of math and reading (or language arts), at a minimum.

Complete the columns indicating Indian student performance levels for applicable grade levels and core subject areas.

Note: Report only on those core content areas and grade levels that are assessed within the LEA using the State (or local) assessment procedures for student content and performance standards, as well as any other assessment procedures that are used in addition to the State required assessments.

Specific instructions for completion are located on the back of the form.

Behavioral Student Needs

The applicant should utilize all available sources of data, including data from other programs, to complete the summary pages for behavioral student needs. *Complete all rows for grades that are applicable to the LEA and for which data are available from last school year.*

At a minimum, applicants are to provide data for the following areas, even if an objective is not planned for the project:

- **Attendance Data (all applicants)**
- **Drop-out Data (only applicants with secondary schools)**

Specific instructions for completion are located on the back of the form.

Detailed examples for completion of the forms are on the following pages:

COMPREHENSIVE PLAN SUMMARY

Indian Student Needs Addressed by LEA Programs

INSTRUCTIONS: Indicate with a check (✓) which of the LEA's programs is addressing the needs of the Indian students in the content/topic areas shown. *Complete the two questions below the table identifying with a check (✓) to indicate which need area(s) will be targeted by the project.*

School Type: ELEMENTARY ☒

MIDDLE SCHOOL ☐

HIGH SCHOOL ☐

----- STEP 1 -----

PROGRAMS:	Reading	Math	LA/ Writing	Science	Soc. Stud./ History	Attend.	Drop Out	Disruptive Behavior	Violent Behav.	Drugs/ Sub. Abuse	Other
Regular/Basic Program	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Title I School Wide	✓	✓	✓	✓							
Title I Targeted Services											
Reading First (K-3)	✓										
Teacher Quality Program											
Safe & Drug Free Schools Program								✓	✓	✓	
Migrant Education Program							✓				
Johnson-O'Malley						✓					
Bilingual Education											
Special Education Program											
21 st Century		✓		✓							
Other State Program											
Gifted/Talented Program											
Other/Specify:											

EXAMPLE for an Elementary School District, grades K-5

STUDENT DATA AND OBJECTIVES

Content Area: Reading

----- **STEP 2** -----

(Reminder: Data will be from last School Year)

Specify Grades	# Ind. Stud. Tested	Proficiency Level %'s Of Indian Students			Data Source	Project Objective for Grade?		Estimated # IS Targeted for Services	Mid-Year Increase Anticipated	Mid-Year Measure For Progress	End of Yr. Increase Anticipated (including summer school)	Measure for End of Yr. Progress (including summer school)
		A	P	N		Yes	No					
K	0											
1	0											
2	54	2%	66%	32%	SAT9							
3	76	3%	67%	30%	SAT9							
4	67	1%	66%	33%	ITBS							
5	92	1%	24%	75%	AIMS							
Service & Frequency for Objectives Shown		No. Staff		Staff Title			Cost Basis		Activities			Cost Basis

NOTE: The types of assessments shown in the example may be different than the assessment procedures used within your State or LEA. Applicants are to identify the assessment(s) used at the LEA for the grade level(s) tested and report the proficiency levels of Indian students as they are established with your State.

COMPREHENSIVE PLAN SUMMARY

Indian Student Needs Addressed by LEA Programs

INSTRUCTIONS: Indicate with a check (✓) which of the LEA's programs is addressing the needs of the Indian students in the content/topic areas shown. *Complete the two questions below the table identifying with a check (✓) to indicate which need area(s) will be targeted by the project.*

School Type: ELEMENTARY ☒

MIDDLE SCHOOL ☐

HIGH SCHOOL ☐

PROGRAMS:	Reading	Math	LA/ Writing	Science	Soc. Stud./ History	Attend.	Drop Out	Disruptive Behavior	Violent Behav.	Drugs/ Sub. Abuse	Other
Regular/Basic Program	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Title I School Wide	✓	✓	✓	✓							
Title I Targeted Services											
Reading First (K-3)	✓										
Teacher Quality Program											
Safe & Drug Free Schools Program								✓	✓	✓	
Migrant Education Program							✓				
Johnson-O'Malley						✓					
Bilingual Education											
Special Education Program											
21 st Century		✓		✓							
Other State Program											
Gifted/Talented Program											
Other/Specify:											

----- STEP 3 -----

Check (✓):

Are additional services needed to address any content/topic area? ☒ Yes ☐ No

Which area(s): Reading

Will the project target this need with objectives and services? ☒ Yes ☐ No

EXAMPLE for an Elementary School District, grades K-5

STUDENT DATA AND OBJECTIVES

Content Area: Reading

----- STEP 4 -----

If an Objective is checked Yes, complete all remaining columns for that grade.
If all Objectives are checked No, go to next content/topic area.

(Grades to be
targeted next
school year)
↓

(Reminder: Student population to be served next school year
will be two grades ahead of test data being shown; estimate
number to be served accordingly)
↓

Specify Grade(s)	# IS Tested	Proficiency Level %'s			Data Type	Project Objective for Grade?		# IS Targeted	Mid-Year Increase	Mid-Year Measure For Progress	End of Yr. Increase Anticipated	Measure for End of Yr. Progress
		A	P	N		Yes	No					
K	0											
1	0											
2	54	2%	66%	32%	SAT9		No					
3	76	3%	67%	30%	SAT9		No					
4	67	1%	66%	33%	ITBS	Yes		17 (32% of 54 in 2 nd grade)	50% letter grade increase; 5% increase on State practice tests	Student grades in Reading; State practice tests	One letter grade; 10 percentiles in scores; 30% increase in pre/post scores for summer school	Student grades; SAT9; pre-test & end of skill tests for summer school
5	92	1%	24%	75%	AIMS	Yes		23 (30% of 76 3 rd grade)	12.5% increase in scale scores; 50% letter grade increase	State practice tests; student grades in reading	25% increase in scale scores; 30% increase in pre/post scores for summer school; One letter grade.	AIMS; student grades; pre-test & end of skill tests for summer school
Service & Frequency for Objectives Shown		No. Staff			Staff Title			Cost Basis	Activities			Cost Basis

See General Guidance for examples on how to identify student outcomes expected by mid-year and year-end based on the type of assessments used.

EXAMPLE for an Elementary School District, grades K-5

STUDENT DATA AND OBJECTIVES

Content Area: Reading

Specify Grade(s)	# IS Tested	Proficiency Level %'s			Data Type	Project Objective for Grade?		# IS Targeted	Mid-Year Increase	Mid-Year Measure For Progress	End of Yr. Increase Anticipated	Measure for End of Yr. Progress
		A	P	N		Yes	No					
K	0											
1	0											
2	54	2%	66%	32%	SAT9		No					
3	76	3%	67%	30%	SAT9		No					
4	67	1%	66%	33%	ITBS	Yes		17 (32% of 54 in 2 nd grade)	50% letter grade increase; 5% increase on State practice tests	Student grades in Reading; State practice tests	One letter grade; 10 percentiles in scores; 30% increase in pre/post scores for summer school	Student grades; SAT9; pre-test & end of skill tests for summer school
5	92	1%	24%	75%	AIMS	Yes		23 (30% of 76 3 rd grade)	12.5% increase in scale scores; 50% letter grade increase	State practice tests; student grades in reading	25% increase in scale scores; 30% increase in pre/post scores for summer school; One letter grade.	AIMS; student grades; pre-test & end of skill tests for summer school
Service & Frequency for Objectives Shown		No. Staff		Staff Title		Cost Basis		Activities			Cost Basis	
Provide homework assistance grades 4-5; 3 hrs. daily after school		4		Homework assistance aids (paraprofessionals)		4 @ \$12 per hour		Provide skill level appropriate computer assisted instruction; individual and/or small group assistance to students; provide materials and resources to parents on helping with homework.			\$2,250 CAI Software; \$1,70 Resource materials for parents	
Summer program w/ reading specialists for incoming 4-5 grades; 3 weeks		6		2 Reading Specialists and 4 Teacher assistants		2 @ \$4,500 4 @ \$3,000		3 week ½ day summer reading program, ½ day learning activities to incorporate journal, creative writing, storytelling and art on experiences to local museums, historical sites, etc.			\$2,000 reading instructional materials; \$1,500 students lunches & snacks; \$1,200 transportation	

[STEP 5]

PROFESSIONAL DEVELOPMENT

The purpose of this form is to describe the professional development opportunities that will be provided by the LEA to ensure that –

- teachers and other school professionals who are new to the Indian community are prepared to work with Indian children, and
- all teachers who will be involved with the programs have been properly trained to carry out the program.

WAIVER ON USE OF FUNDS

The funds available under this program have a 5% cap on the use of funds for administrative costs unless a waiver of the requirement is approved. The waiver may be approved if the applicant can demonstrate that implementation of the requirement will be detrimental to the operation of the program.

If a waiver is requested, applicants are required to:

2. submit copies of the waiver request to their State Department of Education and provide copies of any comments received; and
3. provide notice to the public that a waiver is being requested.

In addition to the information on the LEA such as name, address, and dated signature, two statements must be provided. These statements are to be completed in full sentences and provide information on how this waiver will –

- Increase the quality of instruction for students, and
- Improve the academic achievement of students.

The duration of the approved waiver is for a period of four years. An extension of one year may be requested to complete the approved project period.

PART 3 – FORMS FOR NEW APPLICATIONS

In addition to the forms identified in Part 2, the following are required for new applications:

- Comprehensive Plan Summary –
 - Student Needs Addressed by LEA Programs
 - Student Data and Objectives
 - Professional Development

- Waiver Requests (if a waiver is requested)

Submit applications by the closing date to:

**U.S. Department of Education
Office of Indian Education
Attention: CFDA #84.060
400 Maryland Ave., SW, Room 5C152
Washington, DC 20202-6335**

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COMPREHENSIVE PLAN SUMMARY

STUDENT NEEDS ADDRESSED BY LEA PROGRAMS

INSTRUCTIONS: Indicate with a check (✓) which of the LEA's programs is addressing the needs of the Indian students in the content/topic areas shown. *Complete the two questions below the table identifying with a check (✓) to indicate which need area(s) will be targeted by the project.*

Complete a separate sheet for each type of school checked.

School Type: ELEMENTARY _____ MIDDLE SCHOOL _____ HIGH SCHOOL _____

PROGRAMS:	Reading	Math	LA/ Writing	Science	Soc. Stud./ History	Attend.	Drop Out	Disruptive Behavior	Violent Behav.	Drugs/ Sub. Abuse	Other
Regular/Basic Program											
Title I School Wide											
Title I Targeted Services											
Reading First (K-3)											
Teacher Quality Program											
Safe & Drug Free Schools Program											
Migrant Education Program											
Johnson-O'Malley											
Bilingual Education											
Special Education Program											
21 st Century											
Other State Program											
Gifted/Talented Program											
Other/Specify:											

Check (✓):

Are additional services needed to address any content/topic area? _____ Yes _____ No

Which area(s): _____

Will the project target this need with objectives and services? _____ Yes _____ No

Form Completion Instructions:*STUDENT NEEDS ADDRESSED BY LEA PROGRAMS*

- Identify the areas (e.g., reading, math, attendance, native language, etc.) that are addressed by the various programs operated and administered by the LEA.
- Based on the assessment data you show for each of these areas on the student data and objectives page, complete the two questions at the bottom to indicate if additional services are needed to meet the needs of Indian students in any of these areas.
- School readiness or similar areas for Pre-Kindergarten students may be specified under “Other” if desired.

STUDENT DATA AND OBJECTIVES (ACADEMIC)

(1) SPECIFY CONTENT AREA: _____

Specify State Standard:

Specify Grade(s)	# IS Tested	Proficiency Level %'s			Data Type	Project Objective for Grade?		# IS Targeted	Mid-Year Increase	Mid-Year Measure For Progress	End of Yr. Increase Anticipated	Measure for End of Yr. Progress
		A	P	N		Yes	No					
Service & Frequency for Objectives Shown		No. Staff			Staff Title			Cost Basis	Activities			Cost Basis

STUDENT DATA AND OBJECTIVES (ACADEMIC)

Use a separate sheet for content/topic area objective; make additional copies as needed.

NOTE: DATA ON STUDENT PERFORMANCE IN READING (OR LANGUAGE ARTS) AND MATH MUST BE PROVIDED FOR THE GRADES REQUIRING ASSESSMENTS FOR YOUR STATE'S STANDARDS EVEN IF AN OBJECTIVE FOR THESE CONTENT AREAS IS NOT PLANNED.

For each grade and core subject area tested according to State and local assessment requirements, complete the rows that are applicable to the LEA for last school year.

1. Specify the content area for the objective(s) and the corresponding State Standard.
2. Specify the grade(s) or grade level(s) affected by this objective.
3. Identify in the "# Tested" column, the total number of Indian students who were tested at each grade level and subject area within your LEA.
4. Using available data, determine the current academic performance levels of the LEA's Indian students according to the descriptions for "A", "P", and "N" as shown. Indicate, in the appropriate columns, the percentage of Indian students that are performing at each level for the content/topic areas listed in the table below.

A = Advanced

P = Proficient

N = Partially Proficient

5. Identify in the "Data" column, the name or acronym of the assessment tool(s) used to determine student levels of academic performance.

Examples of State Assessment Tools: SAT9, ITBS, CAT, AIMS, PASS

6. Identify the number of Indian students that will be targeted by this objective.
7. Identify the level of increase that is to be achieved by mid-year by the students being targeted.
8. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
9. Identify the level of increase that is to be achieved by the end of the year by the students being targeted.
10. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
11. List the services that will be provided to address this student need and the frequency of the service.
12. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
13. List the activities that will be provided to support this objective.
14. Identify the cost basis and total cost of the activities listed. (A "cost basis" breaks out or identifies how you arrived at the total amount of the projected expenditure.)

STUDENT DATA AND OBJECTIVES (ATTENDANCE)

Specify State or local requirements:

					# IS Targeted by Objective	Mid-Year Improvement Expected	End of Yr. Improvement Expected	Data to be used to be used to measure student improvement
(a) GRADES (specify grade or grade level(s))	(b) Total # All Students Attending (ADA)	(c) % of # IS Attending	(d) % of IS not meeting District Requirements	Data Source				
Service and Frequency for Objective Shown		No. & Title of Staff	Personnel Cost		Activities	Cost Basis for Activities		Total Cost of Activities

If data for this page are not disaggregated for the Indian student population, LEA total numbers of incidences for the last school year may be used.

_____ Check (✓) if LEA total numbers are used for this page.

Form Completion Instructions:

STUDENT DATA AND OBJECTIVES (ATTENDANCE)

NOTE: ATTENDANCE DATA ARE REQUIRED FROM ALL APPLICANTS EVEN IF THE PROJECT DOES NOT HAVE AN ATTENDANCE OBJECTIVE.

1. For Attendance, identify in (a) the Average Daily Attendance or Membership (ADA) of all students for last school year either by type of school or by grade. Data reported by “school type” should only be used when the ADA by grade level is not collected and maintained by the LEA/District.
2. Using available data from last school year, identify in (b) the percentage of Indian students who attended, (c) the percentage of Indian students whose attendance does not meet local/District requirements due to truancy, etc.
3. Identify in (d), the “Data Source” column, the type(s) of data source(s) used. For example: School records or School data for State reporting.
4. If the project will develop an objective for this area, check Yes and complete the remaining columns on the page.
5. Identify the number of Indian students that will be targeted by this objective.
6. Identify the level of improvement that is to be achieved by mid-year by the students being targeted.
7. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
8. Identify the level of improvement that is to be achieved by the end of the year by the students being targeted.
9. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
10. List the services that will be provided to address this student need and the frequency of the service.
11. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
12. List the activities that will be provided to support this objective.
13. Identify the cost basis and total cost of the activities listed. (A “cost basis” breaks out or identifies how you arrived at the total amount of the projected expenditure.)

STUDENT DATA AND OBJECTIVES (DROP OUT)

Specify State or local requirements:

(a)					(b)	(c)	# IS Targeted by Objective	Mid-Year Improvement Expected	End of Yr. Improvement	Measures to be used
High School Grades	Total # All Students Dropped Out		% of # IS Dropped Out	Data Source						
9	9-12									
10										
11										
12										
Service and Frequency for Objective Shown			No. and Title Of Staff Staff	Cost Basis	Activities				Cost Basis	

If data for this page are not disaggregated for the Indian student population, LEA totals (Indian and non Indian students) for the last school year may be used.

_____ Check (✓) if LEA total numbers are used for this page.

Form Completion Instructions:

STUDENT DATA AND OBJECTIVES (DROP OUT)

NOTE: DROP-OUT DATA ARE REQUIRED FROM ALL APPLICANTS WITH SECONDARY GRADES EVEN IF THE PROJECT DOES NOT HAVE AN OBJECTIVE FOR DROP-OUT.

1. Identify in (a) the total number of all students who dropped out last school year either by type of school or by grade. Data reported by “school type” should only be used when the data by grade level are not collected and maintained by the LEA/District.
2. Using available data from last school year, identify in (b) the percentage of Indian students who dropped out.
3. Identify in (c), the “Data Source” column, the type(s) of data source(s) used. For example: School records or School data for State reporting.
4. If the project will develop an objective for this area, check Yes and complete the remaining columns on the page.
5. Identify the number of Indian students that will be targeted by this objective.
6. Identify the level of improvement that is to be achieved by mid-year by the students being targeted.
7. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
8. Identify the level of improvement that is to be achieved by the end of the year by the students being targeted.
9. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
10. List the services that will be provided to address this student need and the frequency of the service.
11. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
12. List the activities that will be provided to support this objective.
13. Identify the cost basis and total cost of the activities listed. (A “cost basis” breaks out or identifies how you arrived at the total amount of the projected expenditure.)

STUDENT DATA AND OBJECTIVES (BEHAVIORAL AREAS)

SPECIFY BEHAVIORAL AREA TARGETED: _____

Specify State or local requirements:

Specify grade or grade level(s)	(a)	(b)	(c)	(d)	(e)	# IS Targeted by Objective	Mid-Year Improvement Expected	End of Yr. Improvement	Measures to be used
	Total Offenses/ Suspension	# IS	Total Offenses/ Expulsion	# IS	Data Source				
Service and Frequency for Objective Shown	No. and Title of Staff		Cost Basis		Activities				Cost Basis

Note: If data for this page are not disaggregated for the Indian student population, LEA total numbers of incidences for the last school year may be used.

_____ Check (✓) if LEA total numbers are used for this page.

Form Completion Instructions:

STUDENT DATA AND OBJECTIVES (BEHAVIORAL AREAS)

PLEASE USE A SEPARATE PAGE FOR EACH BEHAVIORAL AREA WITH AN OBJECTIVE.

1. For **Drug Use, Disruptive Behavior, and Violent Behavior** Student Needs, using available data from last school year, identify in:
 - Column (a) the total number of offenses resulting in suspension last school year either by type of school or by grade. Data reported by “school type” should only be used when the data by grade level are not collected and maintained by the LEA/District.
 - Column (b) the number of Indian students who committed offenses resulting in suspension (note: duplicates may exist).
 - Column (c) the total number of offenses resulting in expulsion last school year, either by type of school or by grade. Data reported by “school type” should only be used when the data by grade level are not collected and maintained by the LEA/District.
 - Column (d) the number of Indian students who committed offenses resulting in expulsion (note: duplicates may exist);
2. Identify in (e), the “Data Source” column, the type(s) of data source(s) used. For example: School records or School data for State reporting.
3. If the project will develop an objective for this area, check Yes and complete the remaining columns on the page.
4. Identify the number of Indian students that will be targeted by this objective.
5. Identify the level of improvement that is to be achieved by mid-year by the students being targeted.
6. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
7. Identify the level of improvement that is to be achieved by the end of the year by the students being targeted.
8. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
9. List the services that will be provided to address this student need and the frequency of the service.
10. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
11. List the activities that will be provided to support this objective.
12. Identify the cost basis and total cost of the activities listed. (A “cost basis” breaks out or identifies how you arrived at the total amount of the projected expenditure.)

PROFESSIONAL DEVELOPMENT

Complete the table showing Professional Development activities that are planned:

Staff	Area of Professional Development to be Provided	Timeframe/Frequency of Activity	Source of Professional Development Activity	Objective that training is related to
<i>Example -- Elementary Teachers (15)</i>	<i>Teaching reading in all subjects</i>	<i>2 One day sessions in Sept. and Jan.</i>	<i>Reading Consultants</i>	<i>Reading</i>
Professional Staff (identify title and numbers of staff):				
Paraprofessional Staff (identify):				
School Administrators (identify title and number):				
Other Staff (identify by title and number of staff):				

Duplicate and add additional pages as needed.

Form Completion Instructions:

PROFESSIONAL DEVELOPMENT

1. Column one identifies the various LEA staff that may be involved in professional development activities. Determine the areas in which professional development activities are needed and the staff that may be involved.
2. In column two identify (beside the appropriate type of staff listed) the area(s) of professional development that will be provided.
3. In column three specify the timeframe for the professional development activities listed and the frequency of the activities.
4. Column four specifies who will provide the specific professional development activities listed for staff.
5. In column five (last column) identify what is to be achieved as a result of the professional development activities listed (i.e., what is the expected outcome).

Examples of professional development activities are provided on the form.

WAIVER REQUEST

To be submitted with the Indian Education Formula Grant Application
if a Waiver is requested.

U.S. Department of Education
Office of Indian Education
400 Maryland Ave., SW
Washington, DC 20202-6335

To the Director, Office of Indian Education:

Under the authority of Section 9401, a waiver is requested of the following statutory
requirement(s):

Administrative cap of 5% on grant funds under the Indian Education Formula Grant Program to
Local Educational Agencies (section 7115(d)).

This waiver is requested by:

Local Educational Agency (LEA) or School Name

Mailing Address

City/State/Zip

The waiving of this requirement will:

(1) Increase the quality of instruction for students by:

(2) Improve the academic achievement of students as follows:

The methods for measuring and evaluating the educational goals of the program are described within the accompanying application for the Indian Education Formula Grant Program. Approval of this waiver will not affect the population to be served by this application. The students to be served are those students certified as Indian according to the Indian Student Eligibility Form (ED 506 form) and for whom the services of this project are targeted according to the project's objectives.

The applicant will comply with the following requirements:

- Submit a copy of this waiver request to the State educational agency (SEA) (note: this does not apply to schools funded by the Bureau of Indian Affairs or Tribes applying in lieu of an LEA);
- Submit any comments received from the SEA on this waiver request to the U.S. Department of Education; and
- Provide notice and information regarding the waiver request to the public.

The duration of this waiver will be for a maximum period of one year.

Waiver Requested By:

Signature

Title

Date

PART 4 – INSTRUCTIONS AND FORMS FOR CONTINUATION APPLICATIONS

PART 4 – INSTRUCTIONS FOR CONTINUATION APPLICATIONS

In addition to the standard forms identified in Part 2, the following are required for continuation applications:

- Substantial Progress Summary
- Revised Needs and Objectives (required only if changes are proposed)
- Indian Student Assessments

SUBSTANTIAL PROGRESS SUMMARY

- This form is required for all Continuation Applications. Continuation applications will not be approved if this information is not submitted.
- The purpose of this form is to document the substantial progress of the project in meeting each objective of the current application.

NOTE:

As applications must be submitted before the end of the school year, final information on student progress in meeting the objectives of the project will not be available at the time your new application is submitted. However, interim assessments of student progress are to be planned and conducted throughout the school year. The objective form in your initial application required applicants to identify the level of progress students are to achieve by mid-year. The results of that mid-year progress are to be reported as your project's substantial progress. The final data on progress made during the year will be reported on your Annual Performance Report. For examples of how to determine mid-year progress, see the General Guidance provided in Part 1 of these instructions.

STUDENT DATA AND AND REVISED OBJECTIVES

The purpose of the form is to identify any changes that are being proposed for the project. This form is needed only if there are changes proposed to the objectives or services of your last approved application.

- ***If NO changes are planned, DO NOT SUBMIT.***
- ***Complete only those pages that apply to any changes being made; if there are no changes, DO NOT SUBMIT.***
- ***If objectives of the project will be changed for next school year, complete only the pages for the areas and grades that are applicable to the LEA for last school year that are being changed.***

INDIAN STUDENT ASSESSMENTS

The purpose of this form is to meet the legislative requirement for LEAs to periodically assess the progress of all Indian students – this applies to **ALL** Indians enrolled in the LEAs schools, not just those being served by the project. The information on the periodic assessment is to be shared annually with the Parent Committee and community.

If the applicant is not required to have a Parent Committee (for example, Tribes that apply in lieu of a LEA or a school funded by the BIA), then the remaining requirement for sharing the information with the community still applies.

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PART 4 – FORMS FOR CONTINUATION APPLICATIONS

In addition to the standard forms identified in Part 2, these are forms required for a continuation application:

- Substantial Progress
- Revised Student Objectives (Submit only if changes are needed)
- Indian Student Assessments
- Waiver Use Report (Submit only if a waiver was requested and approved)

Submit applications by the closing date to:

**U.S. Department of Education
Office of Indian Education
Attention: CFDA #84.060
400 Maryland Ave., SW, Room 5C152
Washington, DC 20202-6335**

CONTINUATION APPLICATION FORM CHECKLIST

If you received a Title VII grant in 2005, the following list includes the pages that are required to complete your 2006 application. A few notes first:

- A complete application need only be as few as nine pages.
- Please fill out required forms completely.
- Additional forms could apply to your program, such as a Revised Student Data and Objectives form and a Waiver Use Report form.
- Budget narratives are allowed to further justify expenditures.
- Any other supplemental information, i.e. charts, narratives, flyers, copies of newspaper articles, etc., are not part of the review process.

These pages should be submitted in the following order.

- ☐ ED 424 Application for Federal Education Assistance, [Cover Page], Page 51
- ☐ Indian Student Count – LEA Total, Page 71
- ☐ Parent Committee Approval (Required only for public and charter schools), Page 73
- ☐ ED 524 A Budget Summary Federal Funds, Page 55
- ☐ ED 524 B Budget Summary Non-federal funds
- ☐ Budget Detail Summary – Personnel funded by project, Page 75
- ☐ Budget Detail Summary – Categories, Page 77
- ☐ Substantial Progress reports, Page 117
- ☐ Indian Students Assessments, Page 127
- ☐ Revised Student Data and Objectives, if applicable, Page 119
- ☐ Waiver Use **Report**, if applicable, Page 129

CONSORTIUMS – additional instructions

- ☐ On Cover Page, enter “Consortium” on line 8K.
- ☐ Submit Indian Student Count page for each district signed by that district’s superintendent. Include one LEA Count total page with combined totals for the consortium before the individual LEA student counts.
- ☐ Submit Substantial Progress reports from each district for each objective.

CHARTER SCHOOLS – additional instructions

Note: Only non-profit charter schools are eligible for this program. Additional information (such as Articles of Incorporation) may be requested to verify a charter school’s non-profit status.

SUBSTANTIAL PROGRESS

Instructions -- Complete the table for each current objective of the project indicating the progress achieved to date.

Content/Topic Area: _____ Grade(s) Targeted: _____

Beginning Performance Levels of Indian Students Targeted	% of Indian Students at Levels Targeted	Level of Improvement to be Achieved by Mid-Year	Measure(s) to be used for Mid-Year Evaluation	Est. # of IS to be Served	Actual # of Indian Students Served	Actual Level of Improvement Made by Mid-Year Evaluation	Proposed changes to be made if Substantial Progress has not been met

PROGRESS MUST BE REPORTED FOR EACH OBJECTIVE OF THE PROJECT.

Form Completion Instructions:

SUBSTANTIAL PROGRESS

Add additional pages as needed.

1. Identify in the first column the Content or Topic Area targeted for the objective (this would include any academic content area, behavioral area, or cultural content area).
2. In column two specify the grades or grade levels targeted by the objective.
3. In column three identify the beginning performance levels for the grades or grade levels targeted by the objective(s).
4. In the fourth column, identify the percentage of Indian students at the level(s) being targeted for services under this objective.
5. In column five, state the targeted level of increased achievement or improvement, by grade, that you projected students to achieve by mid-year for this objective.

Note: As a reminder, the mid-year level of achievement is the project's benchmark for determining whether students are making substantial progress in meeting the objective.

6. In the sixth column, identify briefly how the achievement was assessed at each grade level served.
7. In the seventh column, identify the number of Indian students that were estimated to be served under this objective.
8. In the eighth column, identify the actual number of Indian students served under this objective.
9. In column nine, specify the actual levels of progress achieved to date and the actual number of students achieving at that level.
10. In column ten (last column), specify the changes to be made, if any, to the project objectives based on the results achieved.

STUDENT DATA AND REVISED OBJECTIVES (ACADEMIC AREAS)

SPECIFY CONTENT AREA: _____

State Standard (specify):

Specify Grade(s)	# IS Tested	Proficiency Level % 's			Data Type	# IS Targeted	Mid-Year Increase	End of Yr. Increase	Measure
		A	P	N					
Service and Frequency for Objective Shown		No. Staff		Staff Title		Cost	Activities	Cost	

Form Completion Instructions:

STUDENT DATA AND REVISED OBJECTIVES (ACADEMIC AREAS)

Submit only if revisions are being made to the project's objectives.

Use a separate sheet for each content/topic area objective; make additional copies as needed.

For each grade and core subject area tested according to State and local assessment requirements, complete the rows that are applicable to the LEA for last school year.

1. Specify the content area for the objective(s) and the corresponding State Standard.
2. Specify the grade(s) or grade level(s) affected by this objective.
3. Identify in the “# Tested” column, the total number of Indian students who were tested at each grade level and subject area within your LEA.
4. Using available data, determine the current academic performance levels of the LEA’s Indian students according to the descriptions for “A”, “P”, and “N” as shown. Indicate, in the appropriate columns, the percentage of Indian students that are performing at each level for the content/topic areas listed in the table below.

A = Advanced

P = Proficient

N = Partially Proficient

5. Identify in the “Data” column, the name or acronym of the assessment tool(s) used to determine student levels of academic performance.

Examples of State Assessment Tools: SAT9, ITBS, CAT, AIMS, PASS

6. Identify the number of Indian students that will be targeted by this objective.
7. Identify the level of increase that is to be achieved by mid-year by the students being targeted.
8. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
9. Identify the level of increase that is to be achieved by the end of the year by the students being targeted.
10. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
11. List the services that will be provided to address this student need and the frequency of the service.
12. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
13. List the activities that will be provided to support this objective.
14. Identify the cost basis and total cost of the activities listed. (A “cost basis” breaks out or identifies how you arrived at the total amount of the projected expenditure.)

STUDENT DATA AND REVISED OBJECTIVES (ATTENDANCE)

Specify State or local requirements:

(a)	(b)	(c)	(d)	# IS Targeted by Objective	Mid-Year Improvement Expected	End of Yr. Improvement Expected	Data to be used to be used to measure student improvement
GRADES (specify grade or grade level(s))	Total # All Students Attending (ADA)	% of # IS Attending	% of IS not meeting District Requirements				
Service and Frequency for Objective Shown	No. & Title of Staff	Cost	Activities	Cost Basis for Activities	Cost		

Note: If data for this page are not disaggregated for the Indian student population, LEA total numbers of incidences for the last school year may be used.

_____ Check (✓) if LEA total numbers are used for this page.

Form Completion Instructions:

STUDENT DATA AND REVISED OBJECTIVES (ATTENDANCE)

Submit only if revisions are being made to the project's objectives.

1. For Attendance, identify in (a) the Average Daily Attendance or Membership (ADA) of all students for last school year either by type of school or by grade. Data reported by "school type" should only be used when the ADA by grade level is not collected and maintained by the LEA/District.
2. Using available data from last school year, identify in (b) the percentage of Indian students who attended, (c) the percentage of Indian students whose attendance does not meet local/District requirements due to truancy, etc.
3. Identify in (d), the "Data Source" column, the type(s) of data source(s) used. For example: School records or School data for State reporting.
4. If the project will develop an objective for this area, check Yes and complete the remaining columns on the page.
5. Identify the number of Indian students that will be targeted by this objective.
6. Identify the level of improvement that is to be achieved by mid-year by the students being targeted.
7. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
8. Identify the level of improvement that is to be achieved by the end of the year by the students being targeted.
9. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
10. List the services that will be provided to address this student need and the frequency of the service.
11. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
12. List the activities that will be provided to support this objective.
13. Identify the cost basis and total cost of the activities listed. (A "cost basis" breaks out or identifies how you arrived at the total amount of the projected expenditure.)

STUDENT DATA AND REVISED OBJECTIVES (DROP OUT)

Specify State or local requirements:

(a)		(b)	(c)	# IS Targeted by Objective	Mid-Year Improvement Expected	End of Yr. Improvement	Measures to be used
High School Grades	Total # All Students Dropped Out	% of # IS Dropped Out	Data Source				
9	9-12						
10							
11							
12							
Service and Frequency for		No. and Title Of Staff	Cost Basis	Activities		Cost Basis	

Note: If data for this page are not disaggregated for the Indian student population, LEA totals (Indian and non-Indian students) for the last school year may be used.

_____ Check (✓) if LEA total numbers are used for this page.

Form Completion Instructions:

STUDENT DATA AND REVISED OBJECTIVES (DROP OUT)

Submit only if revisions are being made to the project's objectives.

1. Identify in (a) the total number of all students who dropped out last school year either by type of school or by grade. Data reported by "school type" should only be used when the data by grade level are not collected and maintained by the LEA/District.
2. Using available data from last school year, identify in (b) the percentage of Indian students who dropped out.
3. Identify in (c), the "Data Source" column, the type(s) of data source(s) used. For example: School records or School data for State reporting.
4. If the project will develop an objective for this area, check Yes and complete the remaining columns on the page.
5. Identify the number of Indian students that will be targeted by this objective.
6. Identify the level of improvement that is to be achieved by mid-year by the students being targeted.
7. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
8. Identify the level of improvement that is to be achieved by the end of the year by the students being targeted.
9. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
10. List the services that will be provided to address this student need and the frequency of the service.
11. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
12. List the activities that will be provided to support this objective.
13. Identify the cost basis and total cost of the activities listed. (A "cost basis" breaks out or identifies how you arrived at the total amount of the projected expenditure.)

STUDENT DATA AND REVISED OBJECTIVES (BEHAVIORAL AREAS)

SPECIFY BEHAVIORAL AREA TARGETED: _____

Specify State or local requirements:

Specify grade or grade level(s)	(a)	(b)	(c)	(d)	(e)	# IS Targeted by Objective	Mid-Year Improvement Expected	End of Yr. Improvement	Measures to be used
	Total Offenses/ Suspension	# IS	Total Offenses/ Expulsion	# IS	Data Source				
Service and Frequency for Objective Shown			No. and Title of Staff		Cost Basis	Activities			Cost Basis

Note: If data for this page are not disaggregated for the Indian student population, LEA total numbers of incidences for the last school year may be used.

_____ Check (✓) if LEA total numbers are used for this page.

Form Completion Instructions:

STUDENT DATA AND REVISED OBJECTIVES (BEHAVIORAL AREAS)

PLEASE USE A SEPARATE PAGE FOR EACH BEHAVIORAL AREA WITH AN OBJECTIVE.

1. For **Drug Use, Disruptive Behavior, and Violent Behavior** Student Needs, using available data from last school year, identify in:
 - (i) Column (a) the total number of offenses resulting in suspension last school year either by type of school or by grade. Data reported by “school type” should only be used when the data by grade level are not collected and maintained by the LEA/District.
 - (ii) Column (b) the number of Indian students who committed offenses resulting in suspension (note: duplicates may exist).
 - (iii) Column (c) the total number of offenses resulting in expulsion last school year, either by type of school or by grade. Data reported by “school type” should only be used when the data by grade level are not collected and maintained by the LEA/District.
 - (iv) Column (d) the number of Indian students who committed offenses resulting in expulsion (note: duplicates may exist);
 - (v) Identify in (e), the “Data Source” column, the type(s) of data source(s) used. For example: School records or School data for State reporting.
2. If the project will develop an objective for this area, check Yes and complete the remaining columns on the page.
3. Identify the number of Indian students that will be targeted by this objective.
4. Identify the level of improvement that is to be achieved by mid-year by the students being targeted.
5. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
6. Identify the level of improvement that is to be achieved by the end of the year by the students being targeted.
7. Identify the measure(s) that will be used to determine the level of progress being made by mid-year.
8. List the services that will be provided to address this student need and the frequency of the service.
9. Identify the number and type of staff that will provide the services and the annual cost of those staff that will be charged to the program.
10. List the activities that will be provided to support this objective.
11. Identify the cost basis and total cost of the activities listed. (A “cost basis” breaks out or identifies how you arrived at the total amount of the projected expenditure.)

INDIAN STUDENT ASSESSMENTS

Instructions -- Identify how the LEA has periodically assessed the progress of all Indian children enrolled in the schools of the LEA (including Indian children who do not participate in the activities funded under this program), shared the results with the Indian community and Parent Committee, and how the LEA is responding to results of previous assessments.

Note: Applicants not required to have a Parent Committee (for example, Tribes that apply in lieu of a LEA or a school funded by the BIA) must still meet the requirement for sharing the assessment data with the community.

Assessment Procedure(s) Used	Timeframe of Last Assessment(s) Conducted	Method of Dissemination to Indian Community and Parent Committee	How is LEA responding to findings of previous assessment(s)?
<i>Example:</i> State assessments for grades 5, 8 and 11	Spring 2005	Parent Committee Meeting in September 2005; Public Hearing for Title VII Application conducted in March 2006.	Modification to current objectives and service delivery; Improved coordination with other programs on skill levels to be targeted.

Form Completion Instructions:

STUDENT ASSESSMENTS

- In the first column, identify the assessment procedures that are used to assess the academic achievement of all students.
- The annual State or local assessment procedures used at specific grade levels may be used to meet this requirement. The assessment procedure(s) used and the grade levels used should be identified in this column.
- In the second column, identify the timeframe of the last assessments that were conducted.
- The annual State or local assessment procedures used at specific grade levels may be used to meet this requirement. The timeframe and frequency of the evaluation(s) should be identified in this column; generally such assessments will have been used in the spring of the preceding school year.
- In the third column, identify briefly the methods that were used to disseminate the performance data on ALL Indian students of the LEA to the --
 - Parent Committee, and
 - Indian community.
- **Note: Applicants that are exempt from the Parent Committee requirement must still address how the assessment information is distributed to the community.**
- In the fourth column (last) column, identify how the LEA is responding to the results of the last assessment(s) listed.

WAIVER USE REPORT

The following LEA received a waiver of the requirement restricting use of programs funds for administrative purposes to 5%.

LEA Name

LEA Address

LEA Address

Complete the following statements:

Under the waiver, the grantee --

- Utilized this percentage of the total funds received for administrative purposes: _____%
- Used these funds for administrative purposes to increase the quality of instruction to students as follows:

- Is improving the academic achievement of students as follows:

Signature of Authorized Representative

Date

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GRANT AND CONTRACT FUNDING INFORMATION

The Department of Education provides information about grant and contract opportunities electronically in several ways:

ED Internet Home Page	http://www.ed.gov
OCFO Web Page Internet	http://www.ed.gov/offices/OCFO/grants.html
OCFO Contracts Page	http://www.ed.gov/offices/OCFO/contracts.html